QUARTERLY STATEMENT
OF THE SECURIAN LIFE INSURANCE COMPANY
TO THE
Insurance Department
OF THE
STATE OF
FOR THE QUARTER ENDED SEPTEMBER 30, 2019

2019

LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2019

OF THE CONDITION AND AFFAIRS OF THE Securian Life Insurance Company 0869 0869 NAIC Company Code 93742 Employer's ID Number ________ NAIC Group Code 41-1412669 Minnesota , State of Domicile or Port of Entry Organized under the Laws of MN Country of Domicile United States of America Life, Accident & Health [X] Fraternal Benefit Societies [] Licensed as business type: 12/11/1981 **Commenced Business** Incorporated/Organized 12/29/1981 Statutory Home Office 400 Robert Street North St. Paul, MN, US 55101-2098 (Street and Number) (City or Town, State, Country and Zip Code) Main Administrative Office 400 Robert Street North (Street and Number) St. Paul. MN. US 55101-2098 651-665-3500 (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number) St. Paul, MN, US 55101-2098 (City or Town, State, Country and Zip Code) Mail Address 400 Robert Street North (Street and Number or P.O. Box) Primary Location of Books and Records 400 Robert Street North (Street and Number) St. Paul, MN, US 55101-2098 651-665-5678 (Area Code) (Telephone Number) (City or Town, State, Country and Zip Code) Internet Website Address www.securian.com 651-665-5678 Statutory Statement Contact Nicholas David Boehland (Area Code) (Telephone Number) (Name) 651-665-7938 nicholas.boehland@securian.com (E-mail Address) (FAX Number) OFFICERS Ted James Nistler # Chairman, President & CEO Christopher Michael Hilger 2nd VP & Treasurer Sr VP, Gen Counsel & Secretary Executive VP & CFO Gary Roger Christensen Warren John Zaccaro OTHER OTHER George Ignatius Connolly, Senior Vice President Craig John Frisvold, Vice President Anthony Joseph Martins, Vice President Rick Lynn Ayers, Vice President Suzette Louise Huovinen #, Senior Vice President Barbara Ann Baumann, Vice President Karen Ann Leighton, Vice President Susan Lunseth Ebertz, Vice President Siddharth Subhash Gandhi, Senior Vice President David Michael Kuplic, Senior Vice President Bruce Paul Shay, Executive Vice President William Michael Gould, Senior Vice President Robert John Ehren, Senior Vice President Paul Ellsworth Rudeen, Vice President and Actuary Laurence Gerard Cochrane, Vice President Kristi Lee Fox, Vice President Mark James Geldernick #, Vice President & CRO Craig Michael Stapleton-Corcoran, Vice President Daniel Harold Kruse, Vice President Peter Gordon Berlute, Vice President Richard Alan Binger, Vice President David Anthony Seidel #, Vice President & Chief Actuary DIRECTORS OR TRUSTEES Robert Leo Senkler Trudy Ann Rautio Mary Keith Brainerd Sara Hietpas Gavin John Hadrath Hooley Eric Byck Goodman Bruce Paul Shay Warren John Zaccaro Christopher Michael Hilger Gary Roger Christenser Benjamin Gwynn Stonestreet Fowke III

State of Minnesota SS: County of Ramsey

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

1

Christopher Michael Hilger Chairman, President & CEO

Thurter 20

Nusle Ted James Nistler

Gary Roger Christensen Sr VP, Gen Counsel & Secretary

2223

a. Is this an original filing? ...

Subscribed and sworn to before me this
_____01____day of

Helen H. Pham Notary Public

HELEN H. PHAM NOTARY PUBLIC - MINNESOTA My Commission Expires Jan. 31, 2020

alle

November 2019

b. If no,

State the amendment number.....
 Date filed

3. Number of pages attached

2nd VP & Treasurer Yes [X] No []

	A33	SETS			
	_	1	Current Statement Date	3	4 December 31
				Net Admitted Assets	Prior Year Net
4	Danda	Assets 	Nonadmitted Assets	(Cols. 1 - 2) 	Admitted Assets
2.	Stocks:				
	2.1 Preferred stocks				
3.		0,415,000		0,413,000	
э.	Mortgage loans on real estate: 3.1 First liens	162 906 642			125 060 251
4.					
4.	Real estate: 4.1 Properties occupied by the company (less \$				
	encumbrances)	1 225 629		1 225 629	1 251 504
	4.2 Properties held for the production of income (less			1,223,030	1,231,304
	4.2 Properties field for the production of income (less s				
	4.3 Properties held for sale (less \$				
	encumbrances)				
5.	Cash (\$1,825,133), cash equivalents				
	(\$27,714,708) and short-term				
	investments (\$				
6.	Contract loans (including \$ premium notes)			5,488,489	4,511,997
7.	Derivatives			14,339,896	2,467,065
8.	Other invested assets				
9.	Receivables for securities				
10.	Securities lending reinvested collateral assets				
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)	1,119,973,221		1,119,801,253	
13.	Title plants less \$ charged off (for Title insurers				
	only)				
14.	Investment income due and accrued				6,942,823
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection		3, 147, 186		
	15.2 Deferred premiums, agents' balances and installments booked but				
	deferred and not yet due (including \$				
	earned but unbilled premiums)	2,087,246		2,087,246	
	15.3 Accrued retrospective premiums (\$				
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers				
	16.2 Funds held by or deposited with reinsured companies				
	16.3 Other amounts receivable under reinsurance contracts				
17.	Amounts receivable relating to uninsured plans				
18.1	Current federal and foreign income tax recoverable and interest thereon				
18.2	Net deferred tax asset				
19.	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software				
21.	Furniture and equipment, including health care delivery assets				
	(\$				
22.	Net adjustment in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates				
24.	Health care (\$				
25.	Aggregate write-ins for other than invested assets				
26.	Total assets excluding Separate Accounts, Segregated Accounts and				
	Protected Cell Accounts (Lines 12 to 25)	1,300,120,067		1,284,491,475	1,090,794,487
27.	From Separate Accounts, Segregated Accounts and Protected Cell	4 540 070		4,546,879	4 150 775
00	Accounts				
28.	Total (Lines 26 and 27)	1,304,666,946	15,628,592	1,289,038,354	1,094,945,262
	DETAILS OF WRITE-INS				
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page				
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501.	Prepaid expenses				
2502.	Policyholder advance				
2503.	Miscellaneous accounts receivable	16,712	2,094	14,618	
2598.	Summary of remaining write-ins for Line 25 from overflow page				
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,993,073	1,978,455	14,618	

ASSETS

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31 Prior Year
1.	Aggregate reserve for life contracts \$	500,000,000	400 547 001
•	(including \$		
	Aggregate reserve for accident and health contracts (including \$		
3. 4.	Contract claims:		
4.	4.1 Life	40,244,884	29.978.874
	4.2 Accident and health		
5.	Policyholders' dividends/refunds to members \$ and coupons \$ due	, ,	, ,
	and unpaid		
6.	Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
	6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$	00,000	01.005
	Modco)		
	6.3 Coupons and similar benefits (including \$		
7.	Amount provisionally held for deferred dividend policies not included in Line 6		
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less		
	\$		
9.	Contract liabilities not included elsewhere:		
	9.1 Surrender values on canceled contracts		
	experience rating refunds of which \$		
	Service Act	722 684	560 678
	9.3 Other amounts payable on reinsurance, including \$assumed and \$		
	ceded		
	9.4 Interest Maintenance Reserve		
10.	Commissions to agents due or accrued-life and annuity contracts \$2,244,625 , accident and health		
	\$		
11.	Commissions and expense allowances payable on reinsurance assumed		
12.	General expenses due or accrued	7,972,398	
13.	Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense		
11	allowances recognized in reserves, net of reinsured allowances) Taxes, licenses and fees due or accrued, excluding federal income taxes		
14. 15.1	Current federal and foreign income taxes, including \$		1 233 77
	Net deferred tax liability		
16.	Unearned investment income		
17.	Amounts withheld or retained by reporting entity as agent or trustee		
18.	Amounts held for agents' account, including \$ agents' credit balances		
19.	Remittances and items not allocated		
20.	Net adjustment in assets and liabilities due to foreign exchange rates		
21.	Liability for benefits for employees and agents if not included above		
22. 23.	Borrowed money \$ and interest thereon \$		
23. 24.	Miscellaneous liabilities:		
24.	24.01 Asset valuation reserve	4 529 916	725 126
	24.02 Reinsurance in unauthorized and certified (\$0) companies		
	24.03 Funds held under reinsurance treaties with unauthorized and certified (\$		
	24.04 Payable to parent, subsidiaries and affiliates		
	24.05 Drafts outstanding		
	24.06 Liability for amounts held under uninsured plans		
	24.07 Funds held under coinsurance		
	24.09 Payable for securities		
	24.10 Payable for securities lending		, .
	24.11 Capital notes \$ and interest thereon \$		
25.	Aggregate write-ins for liabilities	2,600,932	2,661,82
26.	Total liabilities excluding Separate Accounts business (Lines 1 to 25)	905,231,427	729,985,56
27.	From Separate Accounts Statement		
28.	Total liabilities (Lines 26 and 27)	909,778,306	734,136,34
29. 30.	Common capital stock		
30. 31.	Preferred capital stock		
32.	Surplus notes		
33.	Gross paid in and contributed surplus		235,769,36
34.	Aggregate write-ins for special surplus funds		
35.	Unassigned funds (surplus)		
36.	Less treasury stock, at cost:		
	36.1		
c=	36.2		
37.	Surplus (Total Lines 31+32+33+34+35-36) (including \$0 in Separate Accounts Statement)	376,760,047	358,308,91
38. 39.	Totals of Lines 29, 30 and 37 Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	379,260,047	360,808,91
39.	DETAILS OF WRITE-INS	1,209,030,334	1,094,945,20
2501.	Accrued Interest on claims	2 597 690	
2502.	Miscellaneous liability	3,243	
2503.			
2598.	Summary of remaining write-ins for Line 25 from overflow page		
599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	2,600,932	2,661,82
8101.			
102.			
103.			
198. 199.	Summary of remaining write-ins for Line 31 from overflow page		
	Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) Deferred gain on reinsurance	051 050	1 000 50
	builting yatti vit tettisulative		
8401.			
3401. 3402.			
401.	Summary of remaining write-ins for Line 34 from overflow page		

SUMMARY OF OPERATIONS

ļ	JUNIMART OF LRA			
		1 Current Var-	2 Prior Year	3 Drior Voor Endod
1		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1.	Premiums and annuity considerations for life and accident and health contracts			
2.	Considerations for supplementary contracts with life contingencies.			
2. 3.	Net investment income		19,688,595	28,070,679
3. 4.	Amortization of Interest Maintenance Reserve (IMR)	151 733		
	Separate Accounts net gain from operations excluding unrealized gains or losses			
6.	Commissions and expense allowances on reinsurance ceded			72,293,362
7.	Reserve adjustments on reinsurance ceded	(442,619)	(621,603)	
8.	Miscellaneous Income:		(•= :,•••)	
0.	8.1 Income from fees associated with investment management, administration and contract			
1	guarantees from Separate Accounts			
1	8.2 Charges and fees for deposit-type contracts	570		.950
1	8.3 Aggregate write-ins for miscellaneous income		11,205,532	14,666,011
9.	Totals (Lines 1 to 8.3)		415,899,250	559,364,766
10.	Death benefits		.99,602,467	
11.	Matured endowments (excluding guaranteed annual pure endowments)			,,,
12.	Annuity benefits			.6,745,803
13.	Disability benefits and benefits under accident and health contracts			19,710,996
14.	Coupons, guaranteed annual pure endowments and similar benefits		····· , ,	
15.	Surrender benefits and withdrawals for life contracts		2.849.705	
16.	Group conversions			
17.	Interest and adjustments on contract or deposit-type contract funds	1,087,863	1,170,310	1,791,477
18.	Payments on supplementary contracts with life contingencies			
19.	Increase in aggregate reserves for life and accident and health contracts		199,936,284	262,155,684
20.	Totals (Lines 10 to 19)	278 682 575		
21.	Commissions on premiums, annuity considerations, and deposit-type contract funds (direct	210,002,010		
21.	business only)	44.490.122	22.278.495	
22.	Commissions and expense allowances on reinsurance assumed	52.591		
23.	General insurance expenses and fraternal expenses			
23. 24.	Insurance taxes, licenses and fees, excluding federal income taxes	21 018 079		
24. 25.	Increase in loading on deferred and uncollected premiums	1 6/6 QQR	(153,936)	
	Net transfers to or (from) Separate Accounts net of reinsurance	(294, 016)		(330,038)
26.			(377,730)	
27.	Aggregate write-ins for deductions		440 057 007	F44 070 F04
28.	Totals (Lines 20 to 27)	401,805,116	412,357,637	544,278,524
29.	Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus		0 541 610	
	Line 28)	, ,		
30.	Dividends to policyholders and refunds to members	55,319	47,886	61,336
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal	04 004 047	2 402 707	15 004 000
	income taxes (Line 29 minus Line 30)		3,493,727	
32.	Federal and foreign income taxes incurred (excluding tax on capital gains)	6,753,753	4,361,274	8,298,546
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income	19 241 004	(067 547)	6.726.360
~ 1	taxes and before realized capital gains or (losses) (Line 31 minus Line 32)			0,720,300
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital			
1	gains tax of \$	(1.000.414)	0,000,005	0 150 504
	transferred to the IMR)	(1,603,414)	3,008,695	3,153,504
35.	Net income (Line 33 plus Line 34)	16,637,680	2,141,148	9,879,865
1	CAPITAL AND SURPLUS ACCOUNT			
36.	Capital and surplus, December 31, prior year		337,747,205	337,747,205
	Net income (Line 35)			
38.	Change in net unrealized capital gains (losses) less capital gains tax of \$	5,633,577	(606,101)	(5,737,847)
39.	Change in net unrealized foreign exchange capital gain (loss)			
40.	Change in net deferred income tax	2,742,071	4, 162, 153	5,756,316
41.	Change in nonadmitted assets			
42.	Change in liability for reinsurance in unauthorized and certified companies	(414,674)	(612,415)	(1,675,584)
43.	Change in reserve on account of change in valuation basis, (increase) or decrease			
44.	Change in asset valuation reserve	(3,804,790)	(101,650)	
45.	Change in treasury stock			
	Surplus (contributed to) withdrawn from Separate Accounts during period			
47.	Other changes in surplus in Separate Accounts Statement			
48.	Change in surplus notes			
49.	Cumulative effect of changes in accounting principles			
50.	Capital changes:			
	50.1 Paid in			
1	50.2 Transferred from surplus (Stock Dividend)			
1	50.3 Transferred to surplus			
51	Surplus adjustment:	[
<u> </u>	51.1 Paid in			19 531 119
l	51.2 Transferred to capital (Stock Dividend)			
l	51.3 Transferred from capital			
ł	51.3 Transierred from capital	(68 721)	(70 505)	(02 520)
1	Dividends to stockholders			
50				
				00 001 711
53.	Aggregate write-ins for gains and losses in surplus		1 000 57/	
53. 54.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53)	18,451,128	1,988,574	23,061,714
53. 54.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54)		1,988,574 339,735,779	23,061,714 360,808,919
53. 54. 55.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54) DETAILS OF WRITE-INS	18,451,128 379,260,047	339,735,779	360,808,919
53. 54. 55. 08.301.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54) DETAILS OF WRITE-INS Amortization of deferred gain on reinsurance	18,451,128 379,260,047 	339,735,779	360,808,919
53. 54. 55. 08.301. 08.302.	Aggregate write-ins for gains and losses in surplus	18,451,128 379,260,047 	339,735,779	360,808,919
53. 54. 55. 08.301. 08.302. 08.303.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54) DETAILS OF WRITE-INS Amortization of deferred gain on reinsurance Reserve transfer Miscellaneous profit	18,451,128 379,260,047 	339,735,779 70,505 11,135,028	360,808,919
53. 54. 55. 08.301. 08.302. 08.303. 08.398.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54) DETAILS OF WRITE-INS Amortization of deferred gain on reinsurance Reserve transfer Miscel laneous profit Summary of remaining write-ins for Line 8.3 from overflow page	18,451,128 379,260,047 	339,735,779 70,505 11,135,028	360,808,919
53. 54. 55. 08.301. 08.302. 08.303. 08.398. 08.399.	Aggregate write-ins for gains and losses in surplus	18,451,128 379,260,047 	339,735,779 70,505 11,135,028	360,808,919
53. 54. 55. 08.301. 08.302. 08.303. 08.398. 08.399. 2701.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54) DETAILS OF WRITE-INS Amortization of deferred gain on reinsurance Reserve transfer Miscel laneous profit Summary of remaining write-ins for Line 8.3 from overflow page Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	18,451,128 379,260,047 	339,735,779 70,505 11,135,028	360,808,919
53. 54. 55. 08.301. 08.302. 08.303. 08.398. 08.399. 2701.	Aggregate write-ins for gains and losses in surplus	18,451,128 379,260,047 	339,735,779 70,505 11,135,028 11,205,532	360,808,919
53. 54. 55. 08.301. 08.302. 08.303. 08.398. 08.399. 2701. 2702.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54) DETAILS OF WRITE-INS Amortization of deferred gain on reinsurance Reserve transfer Miscel laneous profit Summary of remaining write-ins for Line 8.3 from overflow page Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	18,451,128 379,260,047 	339,735,779 70,505 11,135,028 11,205,532	360,808,919
53. 54. 55. 08.301. 08.302. 08.303. 08.398. 08.399. 2701. 2702. 2703.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54) DETAILS OF WRITE-INS Amortization of deferred gain on reinsurance Reserve transfer Miscel laneous profit Summary of remaining write-ins for Line 8.3 from overflow page Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	18,451,128 379,260,047 	339,735,779 70,505 11,135,028 11,205,532	360,808,919 92,589
53. 54. 55. 08.301. 08.302. 08.303. 08.398. 08.399. 2701. 2702. 2703. 2798.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54) DETAILS OF WRITE-INS Amort ization of deferred gain on reinsurance Reserve transfer Miscel laneous profit Summary of remaining write-ins for Line 8.3 from overflow page Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	18,451,128 379,260,047 	339,735,779 70,505 11,135,028 11,205,532	360,808,919 92,589
53. 54. 55. 08.301. 08.302. 08.303. 08.398. 08.399. 2701. 2702. 2703. 2798. 2799.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54) DETAILS OF WRITE-INS Amortization of deferred gain on reinsurance Reserve transfer Miscel laneous profit Summary of remaining write-ins for Line 8.3 from overflow page Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	18,451,128 379,260,047 	339,735,779 70,505 11,135,028 11,205,532	360,808,919 92,589
53. 54. 55. 08.301. 08.302. 08.303. 08.399. 2701. 2702. 2703. 2798. 2799. 5301.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54) DETAILS OF WRITE-INS Amortization of deferred gain on reinsurance Reserve transfer Miscel laneous profit Summary of remaining write-ins for Line 8.3 from overflow page Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above) Summary of remaining write-ins for Line 27 from overflow page Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	18,451,128 379,260,047 	339,735,779 70,505 11,135,028 11,205,532	360,808,919
53. 54. 55. 08.301. 08.302. 08.303. 08.398. 08.399. 2701. 2702. 2703. 2703. 2798. 2799. 5301. 5302.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54) DETAILS OF WRITE-INS Amortization of deferred gain on reinsurance Reserve transfer Miscel laneous profit Summary of remaining write-ins for Line 8.3 from overflow page Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above) Summary of remaining write-ins for Line 27 from overflow page Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	18,451,128 379,260,047 	339,735,779 70,505 11,135,028 11,205,532	360,808,919
53. 54. 55. 08.301. 08.302. 08.303. 08.398. 2701. 2702. 2702. 2703. 2798. 2799. 5301. 5302. 5303.	Aggregate write-ins for gains and losses in surplus Net change in capital and surplus for the year (Lines 37 through 53) Capital and surplus, as of statement date (Lines 36 + 54) DETAILS OF WRITE-INS Amortization of deferred gain on reinsurance Reserve transfer Miscel laneous profit Summary of remaining write-ins for Line 8.3 from overflow page Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above) Summary of remaining write-ins for Line 27 from overflow page Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	18,451,128 379,260,047 	339,735,779 70,505 11,135,028 11,205,532	360,808,919

CASH FLOW

		1 Current Year	2 Prior Year	3 Prior Year Ended
		To Date	To Date	December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance			
2.	Net investment income			
3.	Miscellaneous income	68,433,648	68,692,845	88,488,300
4.	Total (Lines 1 to 3)	421,515,433	426,899,991	554,401,125
5.	Benefit and loss related payments			
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	(392,772)	(377,896)	
7.	Commissions, expenses paid and aggregate write-ins for deductions			
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of \$442,288 tax on capital			
	gains (losses)	7,514,451	6,982,379	10,578,756
10.	Total (Lines 5 through 9)	296,067,414	228,612,169	298,790,840
11.	Net cash from operations (Line 4 minus Line 10)	125,448,019	198,287,822	255,610,285
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds			
	12.2 Stocks			
	12.3 Mortgage loans			1,459,915
	12.4 Real estate			
	12.5 Other invested assets			
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
	12.7 Miscellaneous proceeds	576,164	4,270,416	2,464,255
	12.8 Total investment proceeds (Lines 12.1 to 12.7)			360,272,211
13.	Cost of investments acquired (long-term only):	,,		
	13.1 Bonds	300 223 186	408 854 898	529 094 031
	13.2 Stocks			920,001,001
	13.3 Mortgage loans			
	13.4 Real estate	02 102		
	13.5 Other invested assets	,		
	13.6 Miscellaneous applications			
	13.7 Total investments acquired (Lines 13.1 to 13.6)	332,916,368	471,879,898	628,025,727
14.	Net increase (or decrease) in contract loans and premium notes	976,492	2,019,380	2,342,986
		(136,327,831)	(183,656,728)	(270,096,502
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(100,027,001)	(105,050,720)	(270,090,002
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes			
	16.2 Capital and paid in surplus, less treasury stock			
	16.3 Borrowed funds			
	16.4 Net deposits on deposit-type contracts and other insurance liabilities		4,450,777	
	16.5 Dividends to stockholders			
	16.6 Other cash provided (applied)	8,340,808	(2,738,898)	4,959,352
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	28,626,484	1,711,879	11,864,427
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.		17,746,672		
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year			
	19.2 End of period (Line 18 plus Line 19.1)	30,533,341	31,751,433	12,786,670

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

			2	3
		Current Year	∠ Prior Year	Prior Year Ended
		To Date	To Date	December 31
1.	Industrial life			
2.	Ordinary life insurance	45 234 265		
۷.				
2				
3.	Ordinary individual annuities			
		F 077 705	F F01 000	7 004 455
4.	Credit life (group and individual)		5,521,333	
5.	Group life insurance		748,873,818	
6.	Group annuities			
7.	A & H - group			
8.	A & H - credit (group and individual)	7,288,499		
9.	A & H - other			
0.				
10.	Aggregate of all other lines of business			
10.	Aggregate of all other lines of business			
		1 000 000 077	1 000 405 010	1 004 007 100
11.	Subtotal (Lines 1 through 10)	1,033,283,977	1,000,405,910	1,334,637,162
12.	Fraternal (Fraternal Benefit Societies Only)			
13.	Subtotal (Lines 11 through 12)	1,033,283,977	1,000,405,910	1,334,637,162
14.	Deposit-type contracts		5,981,679	
15.	Total (Lines 13 and 14)	1,063,188,924	1,006,387,589	1,343,803,506
			, , ,	, , ,
	DETAILS OF WRITE-INS			
1001.				
1001.				
1000				
1002.				
1003.				
1098.	Summary of remaining write-ins for Line 10 from overflow page			
1099.	Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

(1) Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying statutory financial statements of Securian Life Insurance Company (the Company) have been prepared in accordance with accounting practices prescribed or permitted by the Minnesota Department of Commerce. The Minnesota Department of Commerce recognizes statutory accounting practices prescribed or permitted by the state of Minnesota for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under the Minnesota Insurance Law. Prescribed statutory accounting practices are those practices that are incorporated directly or by reference in state laws, regulations and general administrative rules applicable to all insurance enterprises domiciled in a particular state. Permitted statutory accounting practices include practices not prescribed by the domiciliary state, but allowed by the domiciliary state regulatory authority. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures* manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Minnesota. The state has adopted the prescribed accounting practices that differ from those of the state of Minnesota or the NAIC accounting practices.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Minnesota is shown below:

	<u>SSAP#</u>	<u>F/S</u> Page	<u>F/S</u> Line#	09/30/2019	1	<u>2/31/2018</u>
1. Net Income, Minnesota State basis:				\$ 16,637,680	\$	9,879,865
2. State Prescribed Practices (Income):	NONE	NONE	NONE	-		-
3. State Permitted Practices (Income):	NONE	NONE	NONE	-		-
4. Net Income, NAIC SAP:				\$ 16,637,680	\$	9,879,865
5. Statutory Surplus, Minnesota State basis:				\$ 379,260,047	\$ 3	360,808,919
6. State Prescribed Practices (Surplus):	NONE	NONE	NONE	-		-
7. State Permitted Practices (Surplus):	NONE	NONE	NONE	-		-
8. Statutory Surplus, NAIC SAP				\$ 379,260,047	\$ 3	360,808,919

B. Use of Estimates

No significant change

C. Accounting Policy

No significant change

D. Going Concern

Not applicable

(2) Accounting Changes and Corrections of Errors

Not applicable

(3) Business Combinations and Goodwill

Not applicable

(4) Discontinued Operations

Not applicable

(5) Investments

- A. No significant change
- B. No significant change
- C. Not applicable

(5) Investments (Continued)

- D. Loan-Backed Securities
 - 1. Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from Bloomberg when available. Other payment speed assumptions for a small number of holdings were reviewed with the appropriate affiliated company analyst by evaluating the underlying collateral.
 - 2. During 2019, the Company did not recognize any other-than-temporary impairment (OTTI) on loan-backed and structured securities due to the present value of cash flows expected to be collected being less than the amortized cost basis of the securities. During 2019, the Company did not recognize any OTTI due to the intent to sell or due to the inability or lack of intent to retain a security for a period of time sufficient to recover the full amount of the initial investment in the security.
 - 3. There were no OTTI recorded as of September 30, 2019.
 - 4. All impaired securities (fair value is less than cost or amortized cost) for which an OTTI has not been recognized in earnings as a realized loss (including securities with a recognized OTTI for non-interest related declines when a non-recognized interest related impairment remains) as of September 30, 2019:

	Aggregate \$ (23.231)		Less	than 12 months	12 Months or longer			
A. Gross Unrealized Losses	\$	(23,231)	\$	(6,940)	\$	(16,291)		
B. Fair Value of Securities								
with Unrealized Losses	\$	6,254,144	\$	4,878,277	\$	1,375,867		

- 5. In determining whether a decline in value is other than temporary, the Company considers several factors including, but not limited to the following: the extent and duration of the decline in value; the Company's ability or lack of intent to retain the investment for a period of time sufficient to recover the amortized cost basis; and the performance of the security's underlying collateral and projected future cash flows. In projecting future cash flows, the Company incorporates inputs from third-party sources and applies reasonable judgment in developing assumptions used to estimate the probability and timing of collecting all contractual cash flows.
- E. Not applicable
- F. Not applicable
- G. Not applicable
- H. Not applicable
- I. Not applicable
- J. Not applicable
- K. Not applicable
- L. No significant change
- M. Not applicable
- N. Not applicable
- O. No significant change
- P. Not applicable
- Q. Not applicable
- R. Prepayment Penalty and Acceleration Fees

	General Account	Separate Account
(1) Number of CUSIPs	2	N/A
(2) Aggregate amount of investment income	\$3,985	N/A

(6) Joint Ventures, Partnerships and Limited Liability Companies

Not applicable

(7) Investment Income

NONE

(8) Derivative Instruments

No significant change

(9) Income Taxes

No significant change

(10) Information Concerning Parent, Subsidiaries and Affiliates

No significant change

(11) Debt

Not applicable

(12) Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

Not applicable

(13) Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change

(14) Liabilities, Contingencies and Assessments

No significant change

(15) Leases

No significant change

(16) Information About Financial Instruments With Off-Balance Sheet Risk And Financial Instruments With Concentrations of Credit Risk

No significant change

(17) Sale Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

Not applicable

(18) Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

Not applicable

(19) Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change

(20) Fair Value Measurements

A. The fair value of the Company's financial assets and financial liabilities has been determined using available market information as of September 30, 2019. Although the Company is not aware of any factors that would significantly affect the fair value of financial assets and financial liabilities, such amounts have not been comprehensively revalued since those dates. Therefore, estimates of fair value subsequent to the valuation dates may differ significantly from the amounts presented herein. Considerable judgment is required to interpret market data to develop the estimates of fair value. The use of different market assumptions and/or estimation methodologies may have a material effect on the estimated fair value amounts.

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability (exit price) in an orderly transaction between market participants at the measurement date. In determining fair value, the Company primarily uses the market approach which utilizes process and other relevant information generated by market transactions involving identical or comparable assets or liabilities. To a lesser extent, the Company also uses the income approach which uses discounted cash flows to determine fair value. When applying either approach, the Company maximizes the use of observable inputs and minimizes the use of unobservable inputs. Observable inputs reflect the assumptions market participants would use in valuing a financial instrument based on market data obtained from sources independent of the Company. Unobservable inputs reflect the Company's estimates about the assumptions market participants would use in valuing financial assets and financial liabilities based on the best information available in the circumstances.

(20) Fair Value Measurements (Continued)

The Company is required to categorize its financial assets and financial liabilities carried at fair value on the statements of assets and liabilities, surplus and other funds according to a three-level hierarchy. A level is assigned to each financial asset and financial liability based on the lowest level input that is significant to the fair value measurement in its entirety. The levels of fair value hierarchy are as follows:

Level 1 – Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market. The types of assets and liabilities utilizing Level 1 valuations generally include money market funds and investments in mutual funds with quoted market prices.

Level 2 – Fair value is based on significant inputs, other than quoted prices included in Level 1, that are observable in active markets for identical or similar assets and liabilities. The types of assets and liabilities utilizing Level 2 valuation generally include separate account assets and derivatives.

Level 3 – Fair value is based on at least one or more significant unobservable inputs. These inputs reflect the Company's assumptions about the inputs market participants would use in pricing the assets or liabilities.

The Company uses prices and inputs that are current as of the measurement date. In periods of market disruption, the ability to observe prices and inputs may be reduced, which could cause an asset or liability to be reclassified to a lower level.

Inputs used to measure fair value of an asset or liability may fall into different levels of the fair value hierarchy. In these situations, the Company will determine the level in which the fair value falls based upon the lowest level input that is significant to the determination of the fair value

Net Asset

1. Fair Value Measurements of September 30, 2019:

	Level 1	Level 2	Level 3	Value (NAV)	Total
a. Assets at fair value:					
Cash equivalents:					
Money market mutual funds	\$ 14,519,097	\$-	\$-	\$-	\$14,519,097
Common stocks					
Industrial and miscellaneous	6,392,960	-	22,700	-	6,415,660
Derivative assets:		14,000,000			1 4 000 000
Options	-	14,339,896	-	-	14,339,896
Separate account assets	-	4,546,879	-	-	4,546,879
Total assets at fair value/NAV	\$ 20,912,057	\$ 18,886,775	\$ 22,700	\$-	\$39,821,532
b. Liabilities at fair value:					
Derivative liabilities:					
Options	\$-	\$ 5,329,950	\$-	\$-	\$ 5,329,950
	+			\$ -	
Total liabilities at fair value	\$ -	\$ 5,329,950	\$ -	Ψ -	\$ 5,329,950

The Company did not have any transfers between level 1 and level 2 of the fair value hierarchy during 2019.

2. Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

	alance at /01/2019	nsfers o level 3	c	insfers out of evel 3	aı İı	otal gains nd (losses) ncluded in et income	l otal gains and (losses) included in surplus	Pur	chases	s	ales	Se	ttlements	alance at 9/30/2019
A. Assets: Common stocks	\$ 41,960	\$ -	\$	-	\$	-	\$ (19,260)	\$	-	\$	-	\$	-	\$ 22,700
Total	\$ 41,960	\$ -	\$	-	\$	-	\$ (19,260)	\$	-	\$	-	\$	-	\$ 22,700

- 3. Transfers of securities among levels occur at the beginning of the reporting period.
- 4. The methods and assumptions used to estimate the fair value of financial assets and liabilities are summarized as follows:

Cash equivalents

Cash Equivalents include money market instruments and highly rated commercial paper. Money Market instruments are generally valued using unadjusted quoted prices in active markets and are reflected in Level 1.

Common stocks

The Company's common stocks consist primarily of investments in publicly traded companies. The fair values of common stocks are based on quoted market prices in active markets for identical assets and are primarily classified within Level 1. The Company also has common stocks investments in non-exchange traded common stocks classified within Level 3.

(20) Fair Value Measurements (Continued)

4. The methods and assumptions used to estimate the fair value of financial assets and liabilities are summarized as follows (Continued):

Derivative instruments

Derivative instrument fair values are based on quoted market prices when available. If a quoted market price is not available, fair value is estimated using current market assumptions and modeling techniques, which are then compared with quotes from counterparties.

The majority of the Company's derivative positions are traded in the OTC derivative market and are classified as Level 2. The fair values of most OTC derivatives are determined using discounted cash flow or third party pricing models. The significant inputs to the pricing models are observable in the market or can be derived principally from or corroborated by observable market data. Significant inputs that are observable generally include: interest rates, foreign currency exchange rates, interest rate curves, credit curves and volatility. However, certain OTC derivatives may rely on inputs that are significant to the estimated fair value that are not observable in the market or cannot be derived principally from or corroborated by observable generally include: independent broker quotes and inputs that are outside the observable portion of the interest rate curve, credit curve, volatility or other relevant market measure. These unobservable inputs may involve significant management judgment or estimation. In general, OTC derivatives are compared to an outside broker quote when available and are reviewed in detail through the Company's valuation oversight group.

The credit risk of both the counterparty and the Company are considered in determining the estimated fair value for all OTC derivatives after taking into account the effects of netting agreements and collateral arrangements.

Separate account assets

Separate account assets are reported as a summarized total and are carried at estimated fair value based on the underlying assets in which the separate accounts are invested. Valuations for common stock and short-term investments are determined consistent with similar instruments as previously described. When available, fair value of bonds are based on quoted market price of identical assets in active markets and are reflected in Level 1. When quoted market prices are not available, the Company's process it to obtain prices from third party pricing services, when available, and generally classify the security as Level 2. Valuations for certain mutual funds and pooled accounts are classified as Level 2 as the values are based upon quoted prices or reported net asset values provided by the fund managers with little readily determinable public pricing information. Other valuations using internally developed pricing models or broker quotes are generally classified as Level 3.

B. Not applicable

C. The following table summarizes by level of fair value hierarchy the aggregate fair value of all financial assets and liabilities held by the Company as of September 30, 2019: Not

Type of financial instrument	Aggregate fair value	Admitted assets	Level 1	Level 2	Level 3	Net As Valu (NA)	е	practic carry val	cable ying
Assets:			504 \$ 15,776,458 \$ 882,183,344 \$ 63,645,559 660 6,392,960 - 22,700 - - - - 643 - - 170,891,980 708 27,715,239 - - 500 994,321 - - 718 - 1,163,798 - 896 - 14,339,896 - 489 - - 6,622,517 879 - 4,546,879 -						
Bonds	\$ 961,605,361	\$ 897,861,504	\$ 15,776,458	\$ 882,183,344	\$ 63,645,559	\$	-	\$	-
Common stock	6,415,660	6,415,660	6,392,960	-	22,700		-		-
Preferred stock	-	-	-	-	-		-		-
Mortgage loans	170,891,980	162,896,643	-	-	170,891,980		-		-
Cash equivalents	27,715,239	27,714,708	27,715,239	-	-		-		-
Short-term	994,321	993,500	994,321	-	-		-		-
Surplus notes	1,163,798	999,718	-	1,163,798	-		-		-
Derivative assets:									
Options	14,339,896	14,339,896	-	14,339,896	-		-		-
Policy loans	6,622,517	5,488,489	-	-	6,622,517		-		-
Separate accounts	4,546,879	4,546,879		4,546,879			-		-
Total assets	\$ 1,194,295,651	\$ 1,121,256,997	\$ 50,878,978	\$ 902,233,917	\$241,182,756	\$	-	\$	
Liabilities:									
Derivative liabilities:									
Options	\$ 5,329,950	\$ 5,329,950	\$-	\$ 5,329,950	\$-	\$	-	\$	-
Deferred annuities	22,554,099	22,375,098	-	-	22,554,099		-		-
Annuity certain									
contracts	1,823,087	1,717,595	-	-	1,823,087		-		-
Supplementary									
contracts without									
life contingencies Total liabilities	<u>39,597,012</u> \$ 69,304,148	39,057,012 \$ 69,019,655	\$ -	\$ 5,329,960	39,597,012 \$ 63,974,198	\$	-	\$	-

(20) Fair Value Measurements (Continued)

- D. Not applicable
- E. Not applicable
- (21) Other Items

No significant change

(22) Events Subsequent

None

(23) Reinsurance

No significant change

(24) Retrospectively Rated Contracts

No significant change

(25) Change in Incurred Losses and Loss Adjustment Expenses.

Activity in the liability for unpaid accident and health claims and claim adjustment expenses is summarized as follows:

	<u>(</u>	<u>Current Year</u>	Prior Year
Balance at January 1 Less: reinsurance recoverable	\$	24,392,604 14,835,296	\$ 22,701,611 17,009,350
Net balance at January 1		9,557,308	5,692,262
Incurred related to:			
Current year		26,012,089	23,259,314
Prior years		265,535	 (3,610,594)
Total incurred		26,277,624	19,648,720
Paid related to:			
Current year		12,939,325	16,786,187
Prior years		5,845,883	 (1,002,513)
Total paid		18,785,208	15,783,674
Net balance at end of period		17,049,724	9,557,308
Plus: reinsurance recoverable		21,561,465	 14,835,296
Balance at end of period	\$	38,611,179	\$ 24,392,604

(26) Intercompany Pooling Arrangements

Not applicable

(27) Structured Settlements

Not applicable

(28) Health Care Receivables

Not applicable

(29) Participating Policies

No significant change

(30) Premium Deficiency Reserves

No significant change

(31) Reserves for Life Contracts and Deposit-Type Contracts

No significant change

(32) Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant change

(33) Premium and Annuity Considerations Deferred and Uncollected

No significant change

(34) Separate Accounts

No significant change

(35) Loss/Claim Adjustment Expenses

No significant change

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1	Did the reporting entity experience any material transactions requiring the filing of Disclos Domicile, as required by the Model Act?				Yes []	No [X]
1.2	If yes, has the report been filed with the domiciliary state?				Yes []	No []
2.1	Has any change been made during the year of this statement in the charter, by-laws, artic reporting entity?				Yes []	No [X]
2.2	If yes, date of change:					
3.1	Is the reporting entity a member of an Insurance Holding Company System consisting of is an insurer?				Yes [X]	No []
3.2	Have there been any substantial changes in the organizational chart since the prior quart	ter end?			Yes []	No [X]
3.3	If the response to 3.2 is yes, provide a brief description of those changes. $\ensuremath{\text{N/A}}$					
3.4	Is the reporting entity publicly traded or a member of a publicly traded group?				Yes []	No [X]
3.5	If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC	C for the entity/group.				
4.1	Has the reporting entity been a party to a merger or consolidation during the period cover If yes, complete and file the merger history data file with the NAIC for the annual filing co				Yes []	No [X]
4.2	If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two ceased to exist as a result of the merger or consolidation.	vo letter state abbrevi	ation) for any entity that h	as		
	1 Name of Entity	2 AIC Company Code	3 State of Domicile			
5.	If the reporting entity is subject to a management agreement, including third-party admini in-fact, or similar agreement, have there been any significant changes regarding the term If yes, attach an explanation. N/A	istrator(s), managing ns of the agreement o	general agent(s), attorney r principals involved?	/- Yes [] No [X] N/A []
6.1	State as of what date the latest financial examination of the reporting entity was made or	is being made			12/31,	/2017
6.2	State the as of date that the latest financial examination report became available from eit date should be the date of the examined balance sheet and not the date the report was of				12/31,	/2017
6.3	State as of what date the latest financial examination report became available to other st the reporting entity. This is the release date or completion date of the examination report date).	and not the date of the	ne examination (balance :	sheet	06/05/	/2019
6.4 6.5	By what department or departments? The Company was examined as part of a group level examination conducted by the Minr Department of Insurance and Arkansas Department of Insurance participating. Have all financial statement adjustments within the latest financial examination report been statement filed with Departments?	en accounted for in a	subsequent financial	Yes [] No [] N/A [X]
6.6	Have all of the recommendations within the latest financial examination report been comp	plied with?		Yes [] No [] N/A [X]
7.1	Has this reporting entity had any Certificates of Authority, licenses or registrations (includ revoked by any governmental entity during the reporting period?				Yes []	No [X]
7.2	If yes, give full information: N/A					
8.1	Is the company a subsidiary of a bank holding company regulated by the Federal Reserve	e Board?			Yes []	No [X]
8.2	If response to 8.1 is yes, please identify the name of the bank holding company. $\ensuremath{N}\xspace{A}$					
8.3	Is the company affiliated with one or more banks, thrifts or securities firms?				Yes [X]	No []
8.4	If response to 8.3 is yes, please provide below the names and location (city and state of t regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comp Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and ider	ptroller of the Currend	cy (OCC), the Federal De			

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
Securian Financial Services, Inc	Saint Paul, MN	NO	NO	NO	YES
Securian Trust Company, N.A.	Saint Paul, MN	NO	YES	NO	NO
C.R.I. Securities, LLC	Saint Paul, MN	NO	NO	NO	YES
Asset Allocation & Management Company, LLC	Chicago, IL	NO	NO	NO	YES
Securian Asset Management	Saint Paul, MN	NO	NO	NO	YES
Ť					

GENERAL INTERROGATORIES

9.1	Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?	Yes [X] No	[]
	(c) Compliance with applicable governmental laws, rules and regulations;		
	(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and		
0.44	(e) Accountability for adherence to the code.		
9.11	If the response to 9.1 is No, please explain: N/A		
9.2	Has the code of ethics for senior managers been amended?	Yes [] No	[X]
9.21	If the response to 9.2 is Yes, provide information related to amendment(s).		[/]
	N/A		
9.3	Have any provisions of the code of ethics been waived for any of the specified officers?	Yes [] No	[X]
9.31	If the response to 9.3 is Yes, provide the nature of any waiver(s). N/A		
	FINANCIAL		
10.1 10.2	Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?		
10.2	n yes, indicate any amounts receivable nom parent included in the Page 2 amount.		
	INVESTMENT		
11.1	Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)	Yes [] No	[X]
11.2			[\]
12.	Amount of real estate and mortgages held in other invested assets in Schedule BA:\$		
13.	Amount of real estate and mortgages held in short-term investments:		
14.1 14.2	Does the reporting entity have any investments in parent, subsidiaries and affiliates? If yes, please complete the following:	Yes [] No	[X]
14.2	il yes, please complete the following.	2	
	Prior Year-End	Current C	uarter
	Book/Adjusted	Book/Ad	
14 21	Bonds\$	Carrying	
	Preferred Stock\$	\$\$	
	Common Stock\$	\$	
	Short-Term Investments\$	\$	
	Mortgage Loans on Real Estate\$	\$	
		•	
	All Other\$	\$	
14.27	All Other\$ Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)\$ Total Investment in Parent included in Lines 14.21 to 14.26 above\$	\$ \$ \$	

Yes [X] No [] Yes [X] No [] 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? .

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ...

....\$

..\$

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$ 16.3 Total payable for securities lending reported on the liability page.

GENERAL INTERROGATORIES

Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. 17. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?.... For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following: 17.1

Yes [X] No []

Yes [] No [X]

1	2
Name of Custodian(s)	Custodian Address
BNY Mellon	One Mellon Center, Pittsburgh PA, 15258
State Street Bank & Trust Company	801 Pennsylvania Ave., Kansas City, MO 64145

For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, 17.2 location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current guarter?... 17.3 If yes, give full information relating thereto: 17.4

,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"] 17.5

1	2
Name of Firm or Individual	Affiliation
Securian Asset Management, Inc	Α
Wells Fargo	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. Yes [] No [X] designated with a "U") manage more than 10% of the reporting entity's assets?

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?..... Yes [] No [X]

176 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the

1	2	3	4	5
				Investment
				Managemen
Central Registration				Agreement
Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	(IMA) Filed
109905	Securian Asset Management, Inc	5URRAMPU5ELNW8AQJB87	SEC	NO
104976	Wells Fargo	PBLD0EJDB5FW0LXP3B76	SEC	NO
0 1	irements of the Purposes and Procedures Manual of the NAIC	Investment Analysis Office been	followed?	Yes [X] No [
0 1	irements of the Purposes and Procedures Manual of the NAIC	Investment Analysis Office been	followed?	Yes [X] No [
If no, list exceptions:		,		Yes [X] No [
If no, list exceptions: By self-designating 50	GI securities, the reporting entity is certifying the following eleme	ents for each self-designated 5GI	security:	Yes [X] No [
If no, list exceptions: By self-designating 50 a. Documentation security is not a	GI securities, the reporting entity is certifying the following element necessary to permit a full credit analysis of the security does n available.	ents for each self-designated 5GI	security:	Yes [X] No [
If no, list exceptions: By self-designating 50 a. Documentation security is not a	GI securities, the reporting entity is certifying the following elements necessary to permit a full credit analysis of the security does necessary to permit a full credit analysis of the sec	ents for each self-designated 5GI	security:	Yes [X] No [
If no, list exceptions: By self-designating 50 a. Documentation security is not a b. Issuer or obligo c. The insurer has	GI securities, the reporting entity is certifying the following element necessary to permit a full credit analysis of the security does n available.	ents for each self-designated 5GI tot exist or an NAIC CRP credit ra erest and principal.	security: ating for an FE or PL	Yes [X] No [

By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security: 20.

a. The security was purchased prior to January 1, 2018.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and 1.	d Accident Health Companies/Fraternal Benefit Societies: Report the statement value of mortgage loans at the end of this reporting period for the following categories:	1 Amount
	1.1 Long-Term Mortgages In Good Standing	Amount
	1.11 Farm Mortgages	\$
	1.12 Residential Mortgages	\$
	1.13 Commercial Mortgages	\$162,896,643
	1.14 Total Mortgages in Good Standing	\$162,896,643
	1.2 Long-Term Mortgages In Good Standing with Restructured Terms	
	1.21 Total Mortgages in Good Standing with Restructured Terms.	\$
	1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months	
	1.31 Farm Mortgages	\$
	1.32 Residential Mortgages	\$
	1.33 Commercial Mortgages	\$
	1.34 Total Mortgages with Interest Overdue more than Three Months	\$
	1.4 Long-Term Mortgage Loans in Process of Foreclosure	
	1.41 Farm Mortgages	\$
	1.42 Residential Mortgages	\$
	1.43 Commercial Mortgages	\$
	1.44 Total Mortgages in Process of Foreclosure	\$
1.5	Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	\$162,896,643
1.6	Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter	
	1.61 Farm Mortgages	\$
	1.62 Residential Mortgages	\$
	1.63 Commercial Mortgages	\$
	1.64 Total Mortgages Foreclosed and Transferred to Real Estate	\$
2.	Operating Percentages:	
	2.1 A&H loss percent	
	2.2 A&H cost containment percent	
	2.3 A&H expense percent excluding cost containment expenses	
3.1	Do you act as a custodian for health savings accounts?	Yes [] No [X]
3.2	If yes, please provide the amount of custodial funds held as of the reporting date	\$
3.3	Do you act as an administrator for health savings accounts?	Yes [] No [X]
3.4	If yes, please provide the balance of the funds administered as of the reporting date	\$
4.	Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?	Yes [X] No []
4.1	If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?	Yes [] No []
Fratern 5.1	al Benefit Societies Only: In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?	
5.2	If no, explain:	
6.1	Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?	
6.2	If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?	

Date	Outstanding Lien Amount

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE SECURIAN LIFE INSURANCE COMPANY SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

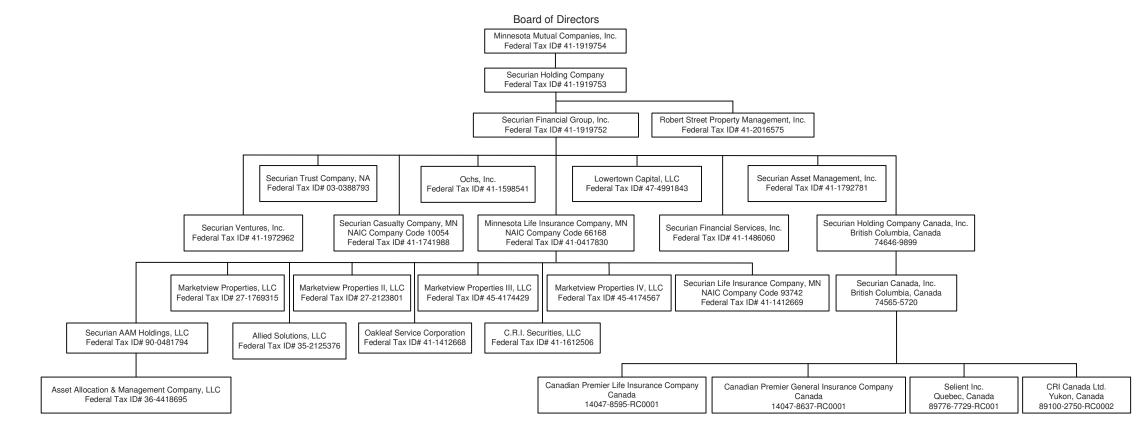
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35. North Dakota ND L 839, 760 339, 684 1, 238, 403 36. Ohio OH L 22, 171, 107 964, 493 3, 650, 681 277, 262, 286 628, 75 37. Oklahorna OK L 7, 221, 264 (20, 921) 1, 297, 407 8, 489, 170 1, 838, 633 38. Pernsylvaria PA L 23, 226, 268 1, 109, 522 4, 447, 741 38, 223, 551 1, 452, 748 39. Pernsylvaria PA L 23, 228, 651 1, 109, 522 4, 447, 741 38, 223, 551 1, 455, 748 41. Stauh Carolina CC L 12, 667, 656 567, 748 1, 119, 516 1, 43, 436, 446 1, 308, 039 42. Varian VT L 1, 766, 768 564, 149 8, 451, 10 77, 797, 572 3, 306, 454 43. Turnicesce VU L 1, 567, 768 564, 111 4, 420, 108 556, 511 4, 533, 430, 761 555, 548 526, 511 4, 543, 741 4, 545, 744 4, 240, 122 3, 533, 543, 761 555, 55, 548 550, 555, 538 568, 221, 593, 550, 555, 548 550, 555, 548 550, 555, 548 550, 555, 548 550, 555, 548 550, 555, 548 550, 555, 548 550										
36. Ohio Oragon Ord L 221 11 1072 994 493 3.000,061 27.728,28 688,77 37. Oktahorma OK L. 7.212,64 688,75 22.665,35 22.665,35 22.665,35 22.665,35 22.665,35 22.665,35 22.665,35 22.665,35 22.665,35 22.665,35 22.665,35 22.665,35 22.665,35 1.455,76 1.455,76 1.455,76 1.455,76 1.455,76 1.455,76 1.455,76 1.455,76 1.455,76 1.455,76 1.455,76 1.455,76 1.455,76 1.455,76 1.456,	35.	North DakotaN	D							-
38. Oregon OR L 14.422.458 6.105.342 2.07.255 22.685.325 22.940 39. Pernsylvaria PA L 23.22.585 1100.952 2.67.755 2.67.755 2.67.755 40. Rhode Island RI L 2.300.810 78.365 20.1180 2.67.1025 41. South Cavolina SC L 1.57.746 1.94.516 14.438.647 1.95.628 31.00 42. South Cavolina SC L 1.57.746 1.95.767 22.7490 1.77.638 1.95.777 32.87.869 15.00 77.973.77 2.80.864 44.81.510 77.973.77 2.80.864 44.81.510 77.973.77 2.80.864 44.81.510 77.973.97 2.80.863 45.94 50.01 44.82.068 56.65 57.67 2.83.78 9.61.77.89 1.90.95 56.85 21.150.95 20.900 25.95 55.965 21.97.95 2.83.78 9.61.77.76 56.85 21.99.31 59.93.31 59.93.31 59.99.31 59.99.31 55.965 21.99.35 59.95.22 27.776 55.985 21.99.25										
Bay Pernersylvania PA L 22, 22, 258 1, 100, 562 4, 48, 711 39, 329, 351 1, 145, 724 41 South Carolina SC L 2, 80, 41, 565 14, 48, 56 14, 386, 447 1, 300, 445 42 South Davida SD L 12, 657, 355 577, 736 1, 44, 56 14, 486, 447 1, 305, 346 43 Ternessee TN L 13, 223, 375 227, 440 1, 726, 568 155, 07, 781 368, 66 44 Texas Tx L 46, 66, 668 884, 494 8, 418, 510 77, 791, 572 368, 64 45 Utah Utah Utah 48, 625, 600 26, 756 22, 83, 783 18, 914, 786 490, 650 516, 774 482, 000 26, 726, 368 516, 774 482, 000 26, 726, 368 516, 774 482, 000 26, 726, 368 516, 774 55, 685 51, 976, 778 55, 685 51, 976, 776 55, 685 51, 576, 778 55, 685 56, 776, 72 28, 776 55, 685 56, 776, 72 28, 776							1,297,407			1,803,680
40. Rivode Island RI L 2.30,810 77,826 2.011.800 2.67,1708 1.300.800 41. South Cavorala SD L 1.57,395 57,78 1.164.516 14.389,647 42. South Dakota SD L 1.57,395 57,78 1.17,788 1.305.80 43. Ternessee TN L 66,76,068 884.994 84.18,150 77,979,72 3.808.40 44. Utah UT L 4,055,377 2.67,648 529,611 4.420.066 3.808.40 45. Utah UT L 4,055,377 2.283,738 18,941,785 3.906.63 46. Vermort V. L 1.63,73,460 2.25,776 5.564,86 2.51,97 50. Wisconsm W1 L 3.600,133 540,783 1.566,146 503,85 51. Wyormig W2 L 4.242,171 65,629 15,866,21 1.99,31 52. American Samoa AS N 2.077 5,568 1.09,951 566 53. <td></td> <td>•</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>		•								
41. South Carolina SC L 12, 667, 365 576, 736 1, 146, 576 14, 838, 447 11, 956, 320 3100 42. South Davida SD L 13, 253, 375 227, 640 17, 126, 568 155, 207, 913 30, 66, 034 44. Texase TX L 68, 676, 668 884, 949 8, 418, 510 77, 979, 577 3, 806, 444 45. Ulah UT L 46, 665, 608 984, 949 8, 418, 510 77, 979, 577 3, 806, 446 46. Vermont VT L 159, 134 99, 663 1618, 777 50 22, 501 4, 820, 666 251, 517 47. Virginia WA L 151, 1126 4, 24, 571 642, 600 252, 628, 300, 623, 517 50, 63, 602, 225, 517 50, 63, 602, 225, 517 50, 63, 502, 225, 519 50, 350, 625 50, 350, 635 50, 356, 646 50, 365 50, 356, 616, 57, 709 50, 855 50, 356, 616, 57, 709 50, 853 1, 699, 595 466, 668 564, 522 27, 767 507, 615 564 50, 556 50, 556 50, 556 50, 556 50, 556 50, 556						1, 160, 952	4,845,741			
42. South Dakota SD L 1.537.945 417.884 1.955.829 31.03 43. Tenessee TN L 13.253.757 227.846 30.66 44. 44. Tenessee TX L 66.676.668 884.994 8.415.510 777.973.572 33.806.84 45. Uthh UT L 40.657.668 894.194 8.415.510 777.973.572 33.806.84 47. Virginia VX L 519.71.436 282.671 4.80.806 55.77.67 33.056.84 49. Westhignon WA L 21.141.26 4.245.174 642.060 282.078.80 251.97 50. Wisconsin Wi L 364.26 21.428 757.700 55.56.863 1.059.951 46.68 55.57 55.56.863 1.059.951 46.68 55.57 55.56.863 1.059.951 46.68 55.57 55.863 1.059.951 46.68 55.67 55.863 1.059.951 46.68 55.67 55.863 1.059.951 46.68 56.67 55.963 1.016.954.466 29.964	-			⊢	2, JU, O IU 12 657 205					
43. Tennessee TN L 13, 253, 375 227, 460 17, 256, 568 15, 207, 813 306, 80 44. Texas Trx L 86, 676, 668 884, 494 9, 815, 510 77, 975, 577 3, 808, 444 45. Utah UT L 468, 676, 668 884, 494 99, 663 168, 977 53, 808, 444 46. Vermont VT L 519, 114 428, 517, 462, 400 282, 528, 511 48, 800, 686 261, 577, 577 47. Virginia Wv L 211, 412, 42, 425, 174 642, 060 262, 028, 300, 225, 519, 509, 515, 516, 516 50, 385, 510, 509, 515, 509, 515, 509, 515, 509, 515, 509, 515, 509, 515, 509, 515, 509, 515, 509, 515, 516, 516, 516, 516, 516, 516, 516						, .				
44. Toxas TX L 68,670,668 844,994 8,418,510 77,979,572 3,808,445 45. Udan UT L 6,653,07 225,168 526,911 4,820,006 551,164 46. Vermont VT L 515,134 99,653 618,787 144,785 300,653 47. Virginia VVA L 21,141,265 4445,174 642,060 226,028,360 251,593 48. Washington WV L 22,133,840 427,5767 2,285,788 18,914,785 500,053 50. Wisconsin WV L 21,414,126 515,644 559,951 55,635 557,957 55 557,957 55 557,957 55 557,957 55 557,957 55 55 557,957 55 557,957 55 557,957 55 56 <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>306,804</td></t<>										306,804
45. Utah UT L 4, 655, 307 223, 168 529, 611 4, 4, 820, 066 65, 176 47. Virginia VA L 16, 373, 460 225, 756 228, 738 18, 944, 785 390, 653 48. Washington WA L 11, 126 44, 446, 174 642, 606 226, 023, 80 251, 97 49. West Virginia WW L 4, 420, 174 644, 764 642, 606 26, 023, 800 251, 97 40. Workingina WW L 4, 420, 133 640, 789 1, 555, 598 15, 866, 221 199, 31 51. Wyorning WW L 544, 642, 281 211, 422 675, 709 55 52. America Samoa AS N 26 286 352 352 54. Pueto Rico Per L 226 283 1, 059, 951 46, 68 55. US. Virgin Islands VI 226 286 , 016, 776 55, 683 1, 059, 776 55, 683 1, 059, 776 55, 682 1, 059, 761, 55 29, 904, 94 96 <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>										
47. Virginia VA L 16, 373, 480 275, 577 2, 283, 738 18, 914, 785 380, 62 48. Washington WA L 21, 141, 126 24, 563, 71 564, 2060 26, 602, 380 251, 97 49. West Virginia WV L 42, 285, 513 843, 761 5, 156, 416 50, 98 50. Wisconsin WV L 464, 281 211, 428 575, 709 199, 31 52. American Samaa AS N 464, 281 211, 428 557, 709 199, 31 54. Puerto Rico PR L 736, 282 27, 776 558, 885 1, 595, 955 46, 68 55. U.S. Virgin Islands VI L 226 222 322 27, 776 559, 885 1, 059, 951 46, 66, 21 56. Northern Mariana Islands MP N 107, 037 2, 741 109, 778 55 266, 21 322 2, 062, 207 66, 21 32 30, 66, 21 304, 476, 33 30, 96, 399, 596 1, 016, 64, 565 29, 904, 94 94 94 94		Utah U	т	L	4,055,307				4,820,086	
48. Washington WA L 21,141,126 24,245,174 542,060 26,023,300 221,67 49. West Virginia WV L 428,122 233 843,761 5,156,416 50,35 50. Wisconsin Wi L 36,013 540,789 1,565,299 15,568,221 199,31 51. Wyoming WY L 464,281 211,428 675,709 57,69 52. American Samoa A8 N 948,222 2767 597,615 566 54. Pueto Rico PR L 36,222 267,776 55,688 1,059,851 46,668 55. U.S. Virgin Islands VI L 225 776 55,688 1,016,954,585 29,904,94 56. Norther Mariana Islands MP N 107,78 224 332 2,0262,207 66,21 59. Subtotal perting entity contributions for employee benefits 2XX 22,949,44 24,2916 42,916 42,916 42,916 42,916 42,916 42,916 42,916 42,916									,	
49. West Virginia Wv L 4,289,122 22,533 ,843,761 5,166,416 50,36 50. Wisconsin Wv L 1,360,133 640,769 1,566,229 1,566,219 1,99,31 51. Wyoming Wv L 644,281 211,428 ,675,709						,			, ,	,
50. Wisconsin Wisconsin 13, 630, 133 640, 789 1, 565, 289 15, 666, 221 199, 31 51. Wyoming WY L 644, 281 211, 428 575, 709 52. American Samoe ASL L 594, 4281 211, 428 597, 615 52. American Samoe ASL L 594, 422 2, 787 597, 615 53. Guarm Clu L 27, 787 597, 615 597, 615 54. Puerto Rico PR L 738, 282 267, 776 558, 833 1, 059, 951 466, 681 55. Northern Mariana Islands MP N 20, 652, 207 66, 21 500, 21 500, 200, 27 66, 21 500, 200, 200, 200, 200, 200, 200, 200,										
51. Wyoming Wyoming L .464,281 .211,428 .675,709 52. American Samoa .6U L .594,828 .2,787 .597,615 .46,68 54. Puerto Rico .PR L .738,222 .267,776 .55,883 .1,059,451 .46,68 55. U.S. Vrigin Islands .WP N .226 .126 .3322									, ,	
52 American Samoa AS N						, , ,	,,			,
53. Guam Gu L 594.828 2.787 .597.615 54. Puerto Rico PR L .736.292 .267.776 .55.883 .1.059.951 .46.68 55. U.S. Virgin Islands MP N .226 .332 .332 56. Northern Mariana Islands MP N .2741 .109.778 .352 57. Canada CANA N. 107.037 .2.741 .109.778 .557.652 .29.904.94 75. Subtotal XXX .2.027.884 .24.323 .2.052.207 .66.21 91. Dividends or employee benefits .XXX .859.903.896 .60,681.093 .96.369.596 .1.016.954.585 .29.904.94 92. Dividends or efunds applied to shorten endowment or annuly considerations weived under disability or other contract provisions. .XXX .42.916		, , , , , , , , , , , , , , , , , , , ,					,			
54. Puerto Rico PR L .736 292 .267,776 .55,883 .1,059,951 .46,68 55. U.S. Virgin Islands VI L .226 .126 .352 .352 61. VIS. Virgin Islands MP N. .2,741 .019,778 .65,813 .2,741 .019,778 .65,213 .2,741 .019,778 .65,213 .2,627,805 .2,741 .019,778 .65,213 .2,652,707 .66,21 .2,741 .019,778 .65,213 .2,652,707 .66,21 .2,741 .019,778 .2,94,94 .2,916 .2,741 .019,778 .2,99,04,94 .2,916	53.	Guam G	U				2,787			
55. U.S. Virgin Islands VI L 226 126 352 68. Northerm Mariana Islands MP			R	L						
57. Canada CAN N 107,037 2,741 109,778 58. Aggregate Other Aliens OT XXX 2,027,884 24,323 2,052,207 .66,21 59. Subtotal XXX .2,027,884 .24,323 2,052,207 .66,21 90. Reporting entity contributions for employee benefits plans. XXX .205,903,896 .00,681,093 .96,389,596 .016,954,585 .29,904,94 91. Dividends or refunds applied to purchase paid-up additions and annuities. XXX .42,916										
58. Aggregate Other Aliens OT XXX 2,027,884										
59. Subtotal XXX 959, 903, 896 60, 681, 083 96, 369, 596 1, 016, 954, 585 29, 904, 94 90. Reporting entity contributions for employee benefits XXX							,			
90. Reporting entity contributions for employee benefits plans. xxx xxx										
plans. XXX XXX XXX 91. Dividends or refunds applied to purchase paid-up additions and annuities XXX 42,916 92. Dividends or refunds applied to shorten endowment or refunds applied to shorten endowment or annuity considerations waived under disability or other contract provisions. XXX 42,916 93. Premium paying period. XXX 4,648 4,648 94. Aggregate or other amounts not allocable by State. XXX 4,648 4,648 95. Totals (Direct Business). XXX 4,638,334 40,139 4,678,533 97. Totals (All Business). XXX 46,688,657,61 33, 879,703 666,676,6434 98. Less Reinsurance Ceded XXX 201,703,123 60,681,093 62,530,032 324,914,248 29,904,94 DETAILS OF WRITE-INS XXX 202,77884 24,323 2,052,207 66,21 580996 XXX 201,703,123 60,681,093 62,530,032 324,914,248 29,904,94 DETAILS OF WRITE-INS XXX 2,027,884 24,323 2,052,207 66,21 589998 Summary of remaining write-ins for Line 58 from overflow page<									1,010,904,085	29,904,947
additions and annuities i XXX 42,916 42,916 92. Dividends or refunds applied to shorten endowment or premium paying period. XXX 42,916 42,916 93. Premium or annuity considerations waived under disability or other contract provisions XXX 4,648 4,648 94. Aggregate or other amounts not allocable by State. XXX 4,638,394 40,139 4,675,533 96. Plus Reinsurance Assumed. XXX 864,589,854 .60,681,093 96,409,735 1,021,680,682 .29,904,94 97. Totals (All Business) XXX 864,589,854 .60,681,093 96,409,735 1,021,680,682 .29,904,94 98. Less Reinsurance Ceded XXX 201,703,123 60,681,093 96,239,703 636,766,434 .29,904,94 DETAILS OF WRITE-INS XXX 201,703,123 60,681,093 62,530,032 324,914,248 29,904,94 58001 XZX .202 .XXX .2052,207 .66,21 58003 .XXX .XXX		plans		XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period. XXX Aggregate or other contract provisions XXX 4,648 93. Premium or annulty considerations waived under disability or other contract provisions XXX 4,648 4,648 94. Aggregate or other amounts not allocable by State. XXX 859,951,460 .60,681,093 96,369,596 .1,017,002,149 .29,904,94 95. Totals (All Eusiness). XXX 864,589,854 .60,681,093 96,369,795 .1,017,002,149 .29,904,94 96. Plus Reinsurance Assumed XXX .4,688	91.			~~~	10 010				40.010	
or premium paying period. XXX XXX <td>92</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	92									
93. Premium or annuity considerations waived under disability or other contract provisions. .xxx 4,648	52.	or premium paying period		XXX						
94. Aggregate or other amounts not allocable by State. XXX XXX XXX 859, 951, 460	93.	Premium or annuity considerations waived under	er							
95. Totals (Direct Business)	04				4,648				4,648	
96. Plus Reinsurance Assumed. XXX 4,638,394 40,139 4,678,533 97 Totals (All Business). XXX 864,589,854 60,681,093 96,409,735 1,021,680,682 29,904,94 98. Less Reinsurance Ceded. XXX 864,889,854 60,681,093 96,409,735 1,021,680,682 29,904,94 99. Totals (All Business) less Reinsurance Ceded XXX 201,703,123 60,681,093 62,530,032 324,914,248 29,904,94 DETAILS OF WRITE-INS XXX 2,027,884 24,323 2,052,207 66,21 58001. ZZZ Other Al i en XXX 2,027,884 24,323 2,052,207 66,21 58098. Summary of remaining write-ins for Line 58 from overflow page XXX 2,027,884 24,323 2,052,207 66,21 9401. XXX 2,027,884 24,323 2,052,207 66,21 9403 XXX 2,027,884 24,323 2,052,207 66,21 9403 XXX 2,027,884 24,323 2,052,207 66,21 9403 XXX 2,027,884 24,323 2,052,207					850 051 160	500 F23 03	06 360 506		1 017 002 1/0	20 001 017
97 Totals (All Business)					, ,					
98. Less Reinsurance Ceded. XXX										
99. Totals (All Business) less Reinsurance Ceded XXX 201,703,123 60,681,093 62,530,032 324,914,248 29,904,94 DETAILS OF WRITE-INS XXX 2,027,884 24,323 2,052,207 .66,21 58001. XXX XXX 2,027,884 24,323 2,052,207 .66,21 58003. XXX XXX 2,027,884 24,323 2,052,207 .66,21 58098. Summary of remaining write-ins for Line 58 from overflow page XXX 2,027,884 24,323 2,052,207 .66,21 9401. XXX 2,027,884 24,323 2,052,207 .66,21 9401. XXX 2,027,884 24,323 2,052,207 .66,21 9402. XXX 2,027,884 24,323 2,052,207 .66,21 9402. XXX 2,027,884 24,323 2,052,207 .66,21 9403. XXX XXX 2,027,884 24,323 2,052,207 .66,21 9498. Summary of remaining write-ins for Line 94 from overflow page XXX										
58001. ZZZ 0ther Alien XXX 2,027,884 .24,323 .2,052,207 .66,21 58002. XXX XXX	99.				201,703,123		62,530,032		324,914,248	29,904,947
58002 XXX XXX XXX 58003 XXX XXX XXX 58998 Summary of remaining write-ins for Line 58 from overflow page XXX XXX 58999 Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) XXX 2,027,884 24,323 2,052,207 66,21 9401. XXX XXX 2,027,884 24,323 2,052,207 66,21 9402. XXX XXX 2,052,207 66,21 24,323 2,052,207 66,21 9403. XXX XXX XXX XXX 24,323 2,052,207 66,21 9403. XXX XXX XXX XXX XXX XXX XXX 9403. XXX			Γ							
58003. XXX XXX Sample Constraints					2,027,884				2,052,207	
58998. Summary of remaining write-ins for Line 58 from overflow page .XXX 2,027,884 24,323 2,052,207 66,21 9401. .XXX 2,027,884 24,323 2,052,207 66,21 9401. .XXX .XXX								+		
overflow page XXX XXX XXX XXX XXX XXX XXX XXX XXX Z,052,207 66,21 Comparison of the state				XXX				<u>+</u>	<u> </u>	
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) xxx 2,027,884 24,323 2,052,207 66,21 9401. .xxx .xxx .xxx	28998.			XXX			l	L	l	
9401. XXX 9402. XXX 9403. XXX 9498. Summary of remaining write-ins for Line 94 from overflow page 9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	58999.									
9402. XXX 9403. XXX 9498. Summary of remaining write-ins for Line 94 from overflow page 9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above) 9499. XXX		58998)(Line 58 above)			2,027,884		,		2,052,207	66,215
9403. XXX 9498. Summary of remaining write-ins for Line 94 from overflow page 9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)										
9498. Summary of remaining write-ins for Line 94 from overflow page XXX 9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above) XXX						·		<u> </u>	<u> </u>	
overflow page XXX 9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above) XXX				XXX				+		
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above) XXX	3430.			XXX.						
	9499.	Totals (Lines 9401 through 9403 plus 9498)(Line	e							
		· · · · · · · · · · · · · · · · · · ·		XXX			I	l	I	

(a) Active Status Counts: L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... E - Eligible - Reporting entities eligible or approved to write surplus lines in the state...... N - None of the above - Not allowed to write business in the state.....

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Organization Chart of Minnesota Mutual Companies, Inc., Subsidiaries, and Affiliates

Policyholders of Minnesota Mutual Companies, Inc.



SCHEDULE Y PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
											Туре	lf			
											of Control	Control			
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.Ś. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Éntity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
						,			ĺ ĺ	, , ,	· · · · · · · · · · · · · · · · · · ·		POLICYHOLDERS OF MINNESOTA MUTUAL		
			35-2125376				ALLIED SOLUTIONS, LLC	IN	NIA	MINNESOTA LIFE INSURANCE COMPANY	Ownership		COMPANIES, INC.	N	
													POLICYHOLDERS OF MINNESOTA MUTUAL		
			36-4418695				ASSET ALLOCATION & MANAGEMENT COMPANY, LLC	IL	NIA	SECURIAN AAM HOLDINGS, LLC	Ownership		COMPANIES, INC	N	
													POLICYHOLDERS OF MINNESOTA MUTUAL		
			41-1612506				C.R.I. SECURITIES, LLC	MN	NIA	MINNESOTA LIFE INSURANCE COMPANY	Ownership		COMPANIES, INC.	N	1
													POLICYHOLDERS OF MINNESOTA MUTUAL		
							CANADIAN PREMIER GENERAL INSURANCE COMPANY	CAN	IA	SECURIAN CANADA INC	Ownership		. COMPANIES, INC.	N	
							CANADIAN PREMIER LIFE INSURANCE COMPANY	CAN	IA.	SECURIAN CANADA INC	Ownership.		POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	N	
							CANADIAN FREMIER LIFE INSORANCE COMPANY			SECONTAIN CAINADA TNC	ownership		POLICYHOLDERS OF MINNESOTA MUTUAL	N	
							CRI CANADA LTD	CAN	NIA	SECURIAN CANADA INC	Ownership.	100.000	COMPANIES. INC.	N	
													POLICYHOLDERS OF MINNESOTA MUTUAL		
			47-4991843				LOWERTOWN CAPITAL. LLC	DE	NIA	SECURIAN FINANCIAL GROUP. INC.	Ownership.		COMPANIES, INC.	Ν	
													POLICYHOLDERS OF MINNESOTA MUTUAL		
			27-2123801				MARKETVIEW PROPERTIES II, LLC	MN	NIA	MINNESOTA LIFE INSURANCE COMPANY	Ownership		. COMPANIES, INC	N	
													POLICYHOLDERS OF MINNESOTA MUTUAL		
			45-4174429				MARKETVIEW PROPERTIES III, LLC	MN	NIA	MINNESOTA LIFE INSURANCE COMPANY	Ownership		. COMPANIES, INC	N	
													POLICYHOLDERS OF MINNESOTA MUTUAL		
			45-4174567				MARKETVIEW PROPERTIES IV, LLC	MN	NIA	MINNESOTA LIFE INSURANCE COMPANY	Ownership		. COMPANIES, INC.	N	
			07 1700015				MARKETVIEW PROPERTIES. LLC	101	NU A		0	100.000	POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES. INC.	м	
•••••			27-1769315				MARKEIVIEW PROPERTIES, LLC	MN	NIA	MINNESOTA LIFE INSURANCE COMPANY	Ownership		POLICYHOLDERS OF MINNESOTA MUTUAL	N	
0869	Minnesota Mutual Group	66168	41-0417830				MINNESOTA LIFE INSURANCE COMPANY	MN	UDP	SECURIAN FINANCIAL GROUP, INC.	Ownership.		COMPANIES, INC.	N	
	minicota mutuar droup		. 41 041/000							POLICYHOLDERS OF MINNESOTA MUTUAL	unici arrp		POLICYHOLDERS OF MINNESOTA MUTUAL	· ·····	
			41-1919754				MINNESOTA MUTUAL COMPANIES, INC.	MN		COMPANIES. INC.	Ownership	100.000	COMPANIES, INC.	N	
													POLICYHOLDERS OF MINNESOTA MUTUAL		
			41-1412668				OAKLEAF SERVICE CORPORATION	MN	NIA	MINNESOTA LIFE INSURANCE COMPANY	Ownership		COMPANIES, INC.	N	
													POLICYHOLDERS OF MINNESOTA MUTUAL		
			41-1598541				OCHS, INC.	MN	NIA	SECURIAN FINANCIAL GROUP, INC.	Ownership		COMPANIES, INC.	N	
							DADEDT ATOFET DOADEDTY HUNDERENT INA						POLICYHOLDERS OF MINNESOTA MUTUAL		
			41-2016575				ROBERT STREET PROPERTY MANAGEMENT, INC	MN	NIA	SECURIAN FINANCIAL GROUP, INC.	Ownership		COMPANIES, INC.	N	
			90-0481794				SECURIAN AAM HOLDINGS, LLC	DE	NIA	MINNESOTA LIFE INSURANCE COMPANY	Ownership	100.000	COMPANIES, INC.	N	
			30-0401734				SECONTAN ANN HOLDINGS, EEC			WITHINGSOTA ETTE THOOHANGE COMPANY	ownership		POLICYHOLDERS OF MINNESOTA MUTUAL	n	
			41-1792781				SECURIAN ASSET MANAGEMENT, INC.	MN	NIA	SECURIAN FINANCIAL GROUP, INC.	Ownership		COMPANIES, INC.	N]
													POLICYHOLDERS OF MINNESOTA MUTUAL		
							SECURIAN CANADA, INC	CAN	NIA	SECURIAN HOLDING COMPANY CANADA, INC	Ownership		COMPANIES, INC.	N	
1				1									POLICYHOLDERS OF MINNESOTA MUTUAL		
	Minnesota Mutual Group	10054	41-1741988				SECURIAN CASUALTY COMPANY	MN	IA	SECURIAN FINANCIAL GROUP, INC.	Ownership		COMPANIES, INC.	N	
1				1								405	POLICYHOLDERS OF MINNESOTA MUTUAL		
			41-1919752				SECURIAN FINANCIAL GROUP, INC.	DE	UIP	SECURIAN HOLDING COMPANY	Ownership		COMPANIES, INC.	. N	
			41-1486060				SECURIAN FINANCIAL SERVICES, INC	MN	NIA	SECURIAN FINANCIAL GROUP. INC.	Ownership.		POLICYHOLDERS OF MINNESOTA MUTUAL COMPANIES, INC.	м	
			41-1400000				SECONTAIN FINANCIAL SERVICES, INC.		NIA	SECURIAN FINANCIAL UNUUF, INC.	owner snip		POLICYHOLDERS OF MINNESOTA MUTUAL	IN	
1			41-1919753	1			SECURIAN HOLDING COMPANY	DE		MINNESOTA MUTUAL COMPANIES, INC	Ownership		COMPANIES, INC.	N	
													POLICYHOLDERS OF MINNESOTA MUTUAL		1
							SECURIAN HOLDING COMPANY CANADA, INC	CAN	NIA	SECURIAN FINANCIAL GROUP, INC.	Ownership		COMPANIES, INC.	N	
							,						POLICYHOLDERS OF MINNESOTA MUTUAL		
	Minnesota Mutual Group	93742	41-1412669				SECURIAN LIFE INSURANCE COMPANY	MN	RE	MINNESOTA LIFE INSURANCE COMPANY	Ownership		. COMPANIES, INC	N	
				1									POLICYHOLDERS OF MINNESOTA MUTUAL		
			03-0388793	. 3089752			SECURIAN TRUST COMPANY, NA	US	NIA	SECURIAN FINANCIAL GROUP, INC.	Ownership		. COMPANIES, INC.	N	
				1								400.00-	POLICYHOLDERS OF MINNESOTA MUTUAL		
			41-1972962				SECURIAN VENTURES, INC.	MN	NIA	SECURIAN FINANCIAL GROUP, INC.	Ownership		. COMPANIES, INC.	N	<u></u>

SCHEDULE Y PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
											Туре	lf			
											of Control	Control			
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
													POLICYHOLDERS OF MINNESOTA MUTUAL		
							SELIENT INC	CAN	NIA	SECURIAN CANADA INC	Ownership	100.000	COMPANIES, INC	N	

Asterisk	Explanation
1	There are no voting right preferences between the 50% owners.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

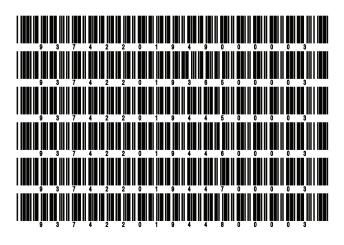
		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	YES
8.	Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanation:

- 1. Not applicable
- 2. Not applicable
- 3. Not applicable
- 4. Not applicable
- 5. Not applicable
- 6. The Company files a Reasonable and Consistency of Assumptions Certification by Actuarial Guideline XXXXVI (Update Market Value)

Bar Code:

- 1. Trusteed Surplus Statement [Document Identifier 490]
- 2. Medicare Part D Coverage Supplement [Document Identifier 365]
- 3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
- 4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
- Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
- Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE SECURIAN LIFE INSURANCE COMPANY **OVERFLOW PAGE FOR WRITE-INS**

SCHEDULE A - VERIFICATION Real Estate

		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value, December 31 of prior year	1,251,504	1,399,959
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted carrying value		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation	119,048	
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	1,225,638	1,251,504
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)	1,225,638	1,251,504

SCHEDULE B - VERIFICATION

	**	1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Total gain (loss) on disposals Deduct amounts received on disposals	4,763,708	1,459,915
8.	Deduct amortization of premium and mortgage interest points and commitment fees		
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)	162,896,643	135,060,351

SCHEDULE BA - VERIFICATION

Other Long-Term	Invested Assets

		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount	4	6
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	999,718	999,714

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year		
2.	Cost of bonds and stocks acquired		
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		(255,660)
5.	Total gain (loss) on disposals		(1,702,499)
6.	Deduct consideration for bonds and stocks disposed of		
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)		
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	904,277,164	794,311,341

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

	e Current Quarter for	2	3	4	5	6	7	8
	Book/Adjusted		-		Book/Adjusted	Book/Adjusted	Book/Adjusted	Book/Adjusted
	Carrying Value Beginning	Acquisitions During	Dispositions During	Non-Trading Activity During	Carrying Value End of	Carrying Value End of	Carrying Value End of	Carrying Value December 31
NAIC Designation	of Current Quarter	Current Quarter	Current Quarter	Current Quarter	First Quarter	Second Quarter	Third Quarter	Prior Year
BONDS								
1. NAIC 1 (a)				(2,288,015)				
2. NAIC 2 (a)								
3. NAIC 3 (a)				(1,000,486)			9,965,610	
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
 NAIC 6 (a) 7. Total Bonds 	864,993,049	122,156,047	75,225,378	126,896	853,268,233	864,993,049	912,050,614	798,342,082
	864,993,049	122,156,047	75,225,378	126,896	853,268,233	864,993,049	912,050,614	798,342,082
7. Total Bonds	864,993,049	122,156,047	75,225,378	126,896	853,268,233	864,993,049	912,050,614	798,342,082
7. Total Bonds PREFERRED STOCK	864,993,049	122,156,047	75,225,378	126,896	853,268,233	864,993,049	912,050,614	798,342,082
7. Total Bonds PREFERRED STOCK 8. 8. NAIC 1 9. NAIC 2 10. NAIC 3	864,993,049	122,156,047	75,225,378	126,896	853,268,233	864,993,049	912,050,614	798,342,082
7. Total Bonds PREFERRED STOCK	864,993,049	122,156,047	75,225,378	126,896	853,268,233	864,993,049	912,050,614	798,342,082
7. Total Bonds PREFERRED STOCK 8. 8. NAIC 1 9. NAIC 2 10. NAIC 3	864,993,049	122,156,047	75,225,378	126,896	853,268,233	864,993,049	912,050,614	798,342,082
7. Total Bonds PREFERRED STOCK	864,993,049	122,156,047	75,225,378	126,896	853,268,233	864,993,049	912,050,614	798,342,082
7. Total Bonds PREFERRED STOCK 8. NAIC 1 9. NAIC 2 10. NAIC 3 11. NAIC 4 12. NAIC 5	864,993,049	122,156,047	75,225,378	126,896	853,268,233	864,993,049	912,050,614	798,342,082

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

SCHEDULE DA - PART 1

Short-Term Investments									
	1	2	3	4	5				
					Paid for				
	Book/Adjusted			Interest Collected	Accrued Interest				
	Carrying Value	Par Value	Actual Cost	Year-to-Date	Year-to-Date				
9199999 Totals	993,500	XXX	989,938						

SCHEDULE DA - VERIFICATION Short-Term Investments

	Short-Term Investments	1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of short-term investments acquired	4,963,832	
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		(55,289)
6.	Deduct consideration received on disposals	3,993,425	
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)		
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	993,500	

SCHEDULE DB - PART A - VERIFICATION

 $\label{eq:constraint} \text{Options, Caps, Floors, Collars, Swaps and Forwards}$

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 9, prior year)	1,497,828
2.	Cost Paid/(Consideration Received) on additions	4,943,400
3.	Unrealized Valuation increase/(decrease)	6,248,718
4.	Total gain (loss) on termination recognized	(1,374,440)
5.	Considerations received/(paid) on terminations	2,305,560
6.	Amortization	
7.	Adjustment to the Book/Adjusted Carrying Value of hedged item	
8.	Total foreign exchange change in Book/Adjusted Carrying Value	
9.	Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4-5+6+7+8)	
10.	Deduct nonadmitted assets	
11.	Statement value at end of current period (Line 9 minus Line 10)	

SCHEDULE DB - PART B - VERIFICATION

	Futures Contracts
1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)
3.1	Add:
	Change in variation margin on open contracts - Highly Effective Hedges
	3.11 Section 1, Column 15, current year to date minus
	3.12 Section 1, Column 15, prior year
	Change in variation margin on open contracts - All Other
	3.13 Section 1, Column 18, current year to date minus
	3.14 Section 1, Column 18, prior year
3.2	Add:
	Change in adjustment to basis of hedged item
	3.21 Section 1, Column 17, current year to date minus
	3.22 Section 1, Column 17, prior year
	Change in amount recognized
	3.23 Section 1, Column 19, current year to date no us
	 3.21 Section 1, Column 17, current year to date minus 3.22 Section 1, Column 17, prior year Change in amount recognized 3.23 Section 1, Column 19, current year to date mus 3.24 Section 1, Column 19, prior year Subtotal (Line 3.1 minus Line 3.2)
3.3	Subtotal (Line 3.1 minus Line 3.2)
4.1	Cumulative variation margin on terminated contracts during the year
4.2	Less:
	4.21 Amount used to adjust basis of hedged item
	4.22 Amount recognized
4.3	Subtotal (Line 4.1 minus Line 4.2)
5.	Dispositions gains (losses) on contracts terminated in prior year:
	5.1 Total gain (loss) recognized for terminations in prior year
	5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)
7.	Deduct total nonadmitted amounts
8.	Statement value at end of current period (Line 6 minus Line 7)

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open **NONE**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open **NONE**

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value	Check
1.	Part A, Section 1, Column 14		
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance		
3.	Total (Line 1 plus Line 2)		.9,009,940
4.	Part D, Section 1, Column 5		
5.	Part D, Section 1, Column 6	(5,329,950)	
6.	Total (Line 3 minus Line 4 minus Line 5)		
		Fair Value Check	
7.	Part A, Section 1, Column 16	9,009,946	
8.	Part B, Section 1, Column 13		
9.	Total (Line 7 plus Line 8)	······	.9,009,946
10.	Part D, Section 1, Column 8	14,339,896	
11.	Part D, Section 1, Column 9	(5,329,950)	
12	Total (Line 9 minus Line 10 minus Line 11)		
		Potential Exposure Chec	:k
13.	Part A, Section 1, Column 21		
14.	Part B, Section 1, Column 20		
15.	Part D, Section 1, Column 11		
16.	Total (Line 13 plus Line 14 minus Line 15)		

SCHEDULE E - PART 2 - VERIFICATION (Cash Equivalents)

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		6,741,996
2.	Cost of cash equivalents acquired		
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals		
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)		
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	27,714,708	17,695,238

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

				TEBITIONO MADE Bailing the Gallent & darter				
1			4	5	6	7	8	9
	Location							
	2	3						Additional
							Book/Adjusted	Investment
			Date		Actual Cost at	Amount of	Carrying Value	Investment Made After
Description of Property	City	State	Acquired	Name of Vendor	Time of Acquisition	Amount of Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Acquisition
Home Office Improvements	Macon	GA		Williamson & Associates, Inc.				
Home Office Improvements	Macon	GA		Georgia Automatic Sprinkler Co.				
Home Office Improvements	Macon	GA		TCG Services, LLC				
0199999. Acquired by Purchase	•							87, 192
		••••••						
0399999 - Totals								87, 192
0000000 - 10000								07,132

SCHEDULE A - PART 3

			Sho	wing All Real Estate DIS	POSED D	uring the Qu	arter, Inclue	ding Paym	ents During	the Final	Year on "Sa	ales Under	Contract"						
1	Locatio	on	4	5	6	7	8	Change in I	Book/Adjusted	d Carrying Va	alue Less En	cumbrances	14	15	16	17	18	19	20
	2	3				Expended		9	10	11	12	13							
						for	Book/					Total	Book/					Gross	
						Additions,	Adjusted				Total	Foreign	Adjusted					Income	
						Permanent	Carrying		Current			Exchange	Carrying		Foreign			Earned	_
						Improve-	Value Less		Year's	Current		- 5			Exchange	Realized	Total	Less	Taxes,
						ments and	Encum-	Current	Other Than	Year's	Adjusted	Book/	Encum-	Amounts	Gain	Gain	Gain	Interest	Repairs
			D: 1			Changes	brances	Year's		Change in		Adjusted	brances	Received	(Loss)	(Loss)	(Loss)	Incurred on	and
Departmention of Property	0.4	04-4-	Disposal Date	Name of Purchaser	Actual Cost	in Encum-	Prior Year	Depre-	Impairment		Value	Carrying	on Disposal	During Year	on Disposal	on Diapagal	on Disposal	Encum- brances	Expenses
Description of Property	City	State	09/30/2019	Name of Furchaser	COSL	brances	real	<u>ciation</u> 40,167	Recognized	brances	(11-9-10)	Value	Disposai	real	Disposai	Disposal	Disposal	101,277	Incurred
Home Office-2960 Riverside Drive Ma	acon	GA						, ,			(40,167)								
0199999. Property Disposed								40,167			(40,167)							101,277	
0399999 - Totals								40,167			(40, 167)							101,277	

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

		y All Moltgage Loans ACQUI		- J				
1	Location		4	5	6	7	8	9
	2	3					Additional	
			Loan			Actual Cost at	Investment Made	Value of Land
Loan Number	City	State	Туре	Date Acquired	Rate of Interest	Time of Acquisition	After Acquisition	and Buildings
0002432	Southfield	MI						
0SL2437	Waite Park			08/08/2019				
0SL2442	Madison							
0599999. Mortgages in good star	nding - Commercial mortgages-all other					10,750,000		24,632,968
0899999. Total Mortgages in goo	od standing					10,750,000		24,632,968
1699999. Total - Restructured M								
2499999. Total - Mortgages with	overdue interest over 90 days							
3299999. Total - Mortgages in th	e process of foreclosure							
		•••••						
3399999 - Totals						10,750,000		24,632,968

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

4	1 e			criowing /									4.4	45	10	47	40
1	Location	-	4	5	ю	_ /		Change		e/Recorded Inv	1		14	15	16	17	18
	2	3				Book Value/	8	9	10	11	12	13	Book Value/				
						Recorded			Current				Recorded				
						Investment			Year's Other		Total		Investment		Foreign		
						Excluding	Unrealized	Current	Than	Capitalized	Change	Total Foreign	Excluding		Exchange	Realized	Total
						Accrued	Valuation	Year's	Temporary	Deferred	in	Exchange	Accrued		Gain	Gain	Gain
			Loan	Date	Disposal	Interest	Increase	(Amortization)			Book Value		Interest on	Consid-	(Loss) on	(Loss) on	(Loss) on
Lean Number	0.1	<u> </u>			Disposal												
Loan Number	City	State	Туре	Acquired	Date	Prior Year	(Decrease)	/Accretion	Recognized	Other	(8+9-10+11)	Book Value	Disposal	eration	Disposal	Disposal	Disposal
	Scottsdale	AZ	••••••	10/06/2017		5,081,772											
0002256	Towson	MD		02/28/2018		3,253,835											
0002265	Morristown	NJ		03/05/2018													
	Morristown Highland Park	NJ		03/05/2018		3,358,293 1.975,467				• • • • • • • • • • • • • • • • • • • •		• • • • • • • • • • • • • • • • • • • •	•••••••••••••••••••••••••••••••••••••••				• • • • • • • • • • • • • • • • • • • •
		NJ		03/05/2018													
0002270	Highland Park Woodbridge	NJ		03/05/2018 .03/05/2018		3, 111, 360 1, 827, 307											
0002271	woodbridge Edison	NJ.	•••••	03/05/2018		1,333,440							••••••				
	Orchard Park			03/27/2018		3.065.711											
0002282	Columbus			04/17/2018		6,392,707				•••••		•••••					•••••
0002233	Cincinnati	0H	••••••			3,581,508											
0002316	Cincinnati	0H				2,984,590											
0002319	Riverdale	MD		09/19/2018		4.636.157											
	Raleigh	NC		10/03/2018		4.194.034								18,390			
0002335	Eugene	OR.				4, 183, 792											
0002336	Fairfield					4,972,839											
0002343	Chicago	IL															
0002393	Chaska			03/29/2019													
0002418	Cincinnati	OH		05/23/2019													
0002426	Hampstead	NH		06/26/2019													
0002427	Avon Park	FL		06/07/2019													
	Southfield	MI		07/18/2019										6,242			
0SL2099	Spokane Valley	WA		10/07/2016													

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location 4 5 6 7 Change in Book Value/Recorded Investment												14	15	16	17	18
	2	3		-		Book Value/	8	9	10	11	12	13	Book Value/		-		-
						Recorded			Current				Recorded				i.
						Investment			Year's Other		Total		Investment		Foreign		i.
						Excluding	Unrealized	Current	Than	Capitalized	Change	Total Foreign	Excluding		Exchange	Realized	Total
						Accrued	Valuation	Year's	Temporary	Deferred	in	Exchange	Accrued		Gain	Gain	Gain
			Loan	Date	Disposal	Interest	Increase	(Amortization)	Impairment	Interest and	Book Value	Change in	Interest on	Consid-	(Loss) on	(Loss) on	(Loss) on
Leen Number	Oit.	Chata			Disposal											Disposal	
Loan Number	City	State	Туре	Acquired	Date	Prior Year	(Decrease)	Accretion	Recognized	Other	(8+9-10+11)	Book Value	Disposal	eration	Disposal	Disposai	Disposal
	Vernon Hills	IL															r
	Ontario San Diego	CA CA		09/06/2016 02/21/2017							•••••						
	North Olmsted	UA	• • • • • • • • • • • • • • • • • • • •														 I
	Chula Vista	CA		09/30/2016													
0SL2113	Convers	GA				945.173											,
0SL2116	Independence	OH															L
0SL2122	Denver																
0SL2132	Houston	ТХ		12/09/2016										6,407			
	Akron	OH		02/08/2017													
0SL2147	Canton	OH		02/27/2017													
	Kaukauna			02/13/2017										6,351			r
	Cutler Ridge	FL		07/20/2017										5,823			r
0SL2186	Houston	TX OH															
	Sandusky Norwalk	UH CA		06/30/2017 05/30/2017											•••••	•••••	
	Rancho Santa Margarita	CA CA														•••••	 I
0SL2190	Cincinnati	СмСМ ОН		07/28/2017													 I
	Aurora																
	Wilmington	NC															
0SL2203	York	PA															
	Ocean City	.NJ.															L
	Las Vegas	NV		08/30/2017										5,913			
	Boston	MA		01/29/2018													
	Dallas	ТХ		09/07/2017													
	Alexandria	VA		08/25/2017										6,247			
OSL2219	Lincoln	MA A7		09/28/2017													r
	Flagstaff Northbrook	AZ		08/21/2017													
	Dumfries	IL		11/01/2018 08/29/2018		2,293,897. 3,332,337.											 I
	Concord	VA CA															
	Tustin	CACA				1,397,453											
	Waukesha	WI		10/01/2018		1,395,132											
0SL2342	Waconia			09/12/2018		2,678,744											L
0SL2351	New York	NY		11/15/2018		2,700,000											
	New York	NY		12/18/2018		4,700,000											
	Philadelphia	PA		12/11/2018		2,700,000											
0SL2356	Schaumburg	IL		11/16/2018		3,500,000 .											r
0SL2362	Menomonee falls	WI				1,700,000											
	St. Louis	MO MD		12/11/2018 12/04/2018		4,000,000 . 2,100,000											
	Eagewood Owings Mills			12/04/2018													
0SL2373 0SL2381	Uwings Mills	MU NV		12/21/2018													 I
	Chantilly			12/20/2018		1,600,000											
	Portland	OR															
	San Antonio		[[·····
	Madison																L
0299999. Mortgages with						130, 117, 148								1,031,100			
0599999 - Totals						130, 117, 148					ł	1		1,031,100			
0033333 - 101912						130, 117, 148		1		1		1		1,031,100			

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made **NONE**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid **NONE**

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

	•	• • • • • • •	ong renn Bende and eteek riedaned Banng the eartent daarter					
1 2	3	4	5	6	7	8	9	10 NAIC Designation and Admini-
								strative
								Symbol/
				Number of			Paid for Accrued	Market
CUSIP		ate		Shares of			Interest and	Indicator
Identification Description	Foreign Acqu		Name of Vendor	Stock	Actual Cost	Par Value	Dividends	(a)
912810-SF-6 United States Treasury Note/Bo T 3 02/15			Morgan St(Ny)-B		6,910,276			1
912828-6T-2 United States Treasury Note/Bo T 2 3/8 0			Deutsche Bank Securities					1
912828-Y8-7 United States Treasury Note/Bo T 1 3/4 0		/2019	Nomura Sec In-E					1
0599999. Subtotal - Bonds - U.S. Governments		(00.10			28,318,467	27,600,000	139,229	XXX
3132DV-4E-2			Morgan St(Ny)-B Goldman Sachs				3, 186 479	
313/30-NW-5		/2019	Korgan J P-B					
31418D-EK-4		2019	Morgan St (Ny)-B					
3199999. Subtotal - Bonds - U.S. Special Revenues					7,695,633	7.602.402	15.969	
00206R-BH-4		/2019	Citigroup		2,009,780	2.000.000	10,989	
00206R-HN-7			Tax Free Exchange		(4,014)	£,000,000		2FE
043180-AE-7 Artisan Partners Asset Managem APAM 4.53		/2019	Citigroup			2,000,000		2Z
06406R-AK-3 Bank of New York Mellon Corp/T BK 1.95 0			Citigroup					1FE
12528Y-AE-3 Cantor Commercial Real Estate 19-CF2 A4			Cantor Fitz					
14041N-FK-2 Capital One Multi-Asset Execut 17-A1 A1			Barclays Capital					
149130-2Z-1 Caterpillar Financial Services CAT Float			Norgan J P-B					1FE
18055#-BG-6 Clarion Lion Properties Fund L CLARLI 3		/2019	Bank of America Merrill Lynch Barclavs Capital				1.404	12 1FE
254683-CK-9 Discover Card Execution Note T 17-A2 A2		/2019	TD Securities					
320558-B#-3		/2019	Wells Fargo Securities LLC			2.000.000		11 L 27
38013F-AE-1		/2019	TD Securities			2,500,000	3,228	1FE
38013T-AB-7 GM Financial Automobile Leasin 19-3 A2A			Bank of America-E		499,985	500,000	9,220	1FE
43815N-AB-0 Honda Auto Receivables 2019-3 19-3 A2			Soc Gen Americas					1FE
55336V-AM-2 MPLX LP MPLX 4 1/2 04/15/38 4.500% 04/		/2019	Morgan St(Ny)-B		2,025,500			2FE
66621#-AA-4 Northfield Mountain LLC 47114Z 4.50 2034	07/18		Mitsubishi					2PL
713448-BZ-0 PepsiCo Inc PEP 4 03/05/42 4.000% 03/0			US Bancorp Investments Inc					
713448-EM-6 PepsiCo Inc PEP 3 3/8 07/29/49 3.375% 760759-AU-4 Republic Services Inc RSG 2 1/2 08/15/24			First Bst(NY) - B Morgan J P-B					1FE 2FE
760759-AU-4			Morgan J P-B Barclavs Capital			2,000,000	.21.347	
88579Y-BD-2			barciays capitai Morgan St(Nv)-B			2,000,000		
907818-FD-5 Union Pacific Corp UNP 3.55 08/15/39 3	07/29		Citigroup		1,745,013	1,750,000		2FE.
94106L-BJ-7 Waste Management Inc WM 4 07/15/39 4.0			Various		1,536,433	1,425,000		
981464-GH-7 World Financial Network Credit 17-C A			Citigroup					
233851-DU-5 Daimler Finance North America DAIGR 2.55	08/12		Bank of America-E					1FE
45082#-AA-0 Iberia Lineas Aereas de Espana IBLA 3.87	D		Morgan St(Ny)-B					1Z
92857W-BU-3	D		Morgan St(Ny)-B Morgan J P-B					2FE
Q3629#-AQ-6		/2019	Morgan J P-B			, ,		12
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffilia	ieu)				41,300,483	40,585,000	150,014	XXX
8399997. Total - Bonds - Part 3					77,314,583	75,787,402	305,212	
8399998. Total - Bonds - Part 5					XXX	XXX	XXX	XXX
8399999. Total - Bonds					77,314,583	75,787,402	305,212	XXX
8999997. Total - Preferred Stocks - Part 3						XXX		XXX
8999998. Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						XXX		XXX
9799997. Total - Common Stocks - Part 3						XXX		XXX
9799998. Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX
9799999. Total - Common Stocks - Part 5 9799999. Total - Common Stocks					~~~	XXX	~~~	XXX
9899999. Total - Preferred and Common Stocks						XXX		XXX
9999999 - Totals					77,314,583	XXX	305,212	XXX
(a) For all common stock bearing the NAIC market indicator "U" pro	wide: the number of such issues							

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

CUSIP For- Disposal Number of States Consid- Par Value Actual Carrying Course in the life of HM0623 Sook / Adjusted Foreign Exchange Book / Adjusted Name 30739-VO-0 Gime Iwae Life of HM0623 2.500% 12/0 09/01/2018 Paydom 90 60.905 60.905 60.934 -550 55 37.99 Volue 11 -11 -11 -11 -11 -11 -11 -11 -11 -11 -11						ener / ar Eer	ng ronn be					Disposed of During t		Quarter							
Like Fit Fit <th>1</th> <th>2</th> <th>3</th> <th>4</th> <th>5</th> <th>6</th> <th>7</th> <th>8</th> <th>9</th> <th>10</th> <th>Ch</th> <th>ange In Book/Adjusted</th> <th>Carrving Va</th> <th>lue</th> <th>16</th> <th>17</th> <th>18</th> <th>19</th> <th>20</th> <th>21</th> <th>22</th>	1	2	3	4	5	6	7	8	9	10	Ch	ange In Book/Adjusted	Carrving Va	lue	16	17	18	19	20	21	22
Line Line <thlin< th=""> Line Line L</thlin<>			-		-		i i	-	-				1 0				-		1	1	NAIC
Description Part Mar					1		i i					12 13	14	15					1	1	
Lube Lube <th< td=""><td></td><td></td><td></td><td></td><td>1</td><td></td><td>i i</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>1</td><td>1</td><td>Desig-</td></th<>					1		i i												1	1	Desig-
Lube Lube <th< td=""><td></td><td></td><td></td><td></td><td>1</td><td></td><td>i i</td><td></td><td></td><td></td><td></td><td></td><td>Total</td><td>Total</td><td></td><td></td><td></td><td></td><td>1</td><td>1</td><td>nation</td></th<>					1		i i						Total	Total					1	1	nation
brance brance<							1												1	1	
LUBP For Description Nume Num Num Num <							1					Current	Change in	Foreign					1	1	
Light Pro Display Nume Nume Provide Display Nume Display Displa					1		i i					Year's	Book/	Exchange	Book/				Bond	1	Admini-
Location Name							1			Prior Vear					Adjusted	Foreign			Interest/	hotet2	strative
Chipse Decomption Decomption<					1		i i														
betty me part of purpose Constrain Description Descri							1			Book/	Unrealized	Year's Temporary	Carrying	Book	Carrying	Exchange	Realized		Stock	Con-	Symbol
betty me part of purpose Constrain Description Descri	CUSIP					Number of	1			Adjusted	Valuation	(Amor- Impairmen	t Value	/Adjusted	Value at	Gain	Gain	Total Gain	Dividends	tractual	/Market
Interfactor Description Period Description Period Description Des			-	D' I												-					
SWM-01 Description SWM-02 SWM-0							-				Increase/	tization)/ Recog-		Carrying							
STR 26 Dim La Info al Alla 2001, Dim Str 2000, Dim Dim La Info al Alla 2000, Dim Dim La Info Alla 2000, Dim <thdim< th=""> Dim La Info Alla 2000, Dim <t< td=""><td>ification</td><td>Description</td><td>eian</td><td>Date</td><td>of Purchaser</td><td>Stock</td><td>eration</td><td>Par Value</td><td>Cost</td><td>Value</td><td>(Decrease)</td><td>Accretion nized</td><td>13)</td><td>Value</td><td>Date</td><td>Disposal</td><td>Disposal</td><td>Disposal</td><td>DuringYear</td><td>Date</td><td>(a)</td></t<></thdim<>	ification	Description	eian	Date	of Purchaser	Stock	eration	Par Value	Cost	Value	(Decrease)	Accretion nized	13)	Value	Date	Disposal	Disposal	Disposal	DuringYear	Date	(a)
Sec:	26170M VO 0	Cippie Mag LL Bool #MAG622 2 E00% 12/0		00/01/2010	Baudawn		60.005	60 00E	60, 006	60.244	(======)		/		60.005		-	I	U	10/01/00/0	1 1
SBX2-1-0 Inter Starl 14 (1984) Mone Starl 14 (1984)																					·
BBXL-L-1					.,														·····2		
BARK 1-C International (1-1) Month (2-1)					Paydown														2	02/01/2031 .	
BARK 1-C International (1-1) Month (2-1)	36206A-LZ-4	Ginnie Mae Pool #405444 9.000% 04/01		09/01/2019	Pavdown							(1)	(1)						L1	04/01/2025	
dist Starte Turner, Nach, 17 30/5 O'20020 Prime 4.00.00 4.90.00 <th< td=""><td>36224T-AC-4</td><td>Ginnie Mae Pool #337603 7 500% 12/01</td><td></td><td>09/01/2019</td><td>Pavdown</td><td></td><td>302</td><td></td><td>313</td><td>306</td><td></td><td>(4)</td><td>(4)</td><td></td><td>302</td><td></td><td></td><td></td><td>15</td><td>12/01/2022</td><td>1</td></th<>	36224T-AC-4	Ginnie Mae Pool #337603 7 500% 12/01		09/01/2019	Pavdown		302		313	306		(4)	(4)		302				15	12/01/2022	1
Base								6 400 000									21 100	21 190			1
2002-05 United Statt Tower Mucht 1 44 L (d) 2002 (b) 402 (d) 402 (d) 403 (d) 403 <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>•••••</td><td>• • • • • • • • • • • • • • • • • • • •</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>										•••••	• • • • • • • • • • • • • • • • • • • •										
0.00000000000000000000000000000000000										•••••	•••••		•••••								·· [
SBB0-24 Party Bo (b) M 2477 S 001 OPEN PP Physical S 101 S 101 <th< td=""><td>912828-Y8-7</td><td>United States Treasury Note/Bo T 1 3/4 0</td><td>··</td><td>07/30/2019</td><td>Various</td><td>·····</td><td>10,848,312</td><td>10,900,000</td><td>10,843,408</td><td></td><td></td><td><u></u></td><td></td><td></td><td>10<u>,</u>843,407</td><td></td><td></td><td></td><td></td><td>07/31/2024</td><td><u> 1</u></td></th<>	912828-Y8-7	United States Treasury Note/Bo T 1 3/4 0	··	07/30/2019	Various	·····	10,848,312	10,900,000	10,843,408			<u></u>			10 <u>,</u> 843,407					07/31/2024	<u> 1</u>
SBB0-24 Party Bo (b) M 2477 S 001 OPEN PP Physical S 101 S 101 <th< td=""><td>0599999</td><td>Subtotal - Bonds - U.S. Governments</td><td></td><td></td><td></td><td></td><td>28 421 252</td><td>27 661 310</td><td>28 379 100</td><td>60 763</td><td></td><td>555</td><td>555</td><td></td><td>28 379 785</td><td></td><td>41 467</td><td>41 467</td><td>140 256</td><td>XXX</td><td>XXX</td></th<>	0599999	Subtotal - Bonds - U.S. Governments					28 421 252	27 661 310	28 379 100	60 763		555	555		28 379 785		41 467	41 467	140 256	XXX	XXX
3288-64 India de old pi latter 3 word Bin 1000 Padra de old pi latter 3 word Bin 10000 Padra de old pi latter 3 word Bin 10000 Padra de old pi latter 3			1	00/01/2010	Paudawa	rł					ł					t	1,07	1,10/			1
State-4 Frain & Golf Profile State 4 State 4 <td></td> <td>•••••</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>·[</td>														•••••							·[
33884-04 Presis No of Arg No.04 Strong No.04 Strong Strong Strong </td <td></td> <td></td> <td></td> <td></td> <td></td> <td>· ·</td> <td></td>						· ·															
339.4-64 Fred is do if Provides 3 500 0 399.400 91.100 5.23 <td>3128MF-QB-7</td> <td>Freddie Mac Gold Pool #G16550 3.000% 0</td> <td></td> <td>09/01/2019</td> <td>Paydown</td> <td>jl</td> <td></td> <td></td> <td></td> <td></td> <td> </td> <td></td> <td></td> <td></td> <td></td> <td></td> <td> </td> <td></td> <td>5,435</td> <td>05/01/2033 .</td> <td> 1</td>	3128MF-QB-7	Freddie Mac Gold Pool #G16550 3.000% 0		09/01/2019	Paydown	jl											 		5,435	05/01/2033 .	1
338.4-7 Freis is is of AdVARD 4300.2 Freis is of AdVARD 530.4-7 <	3128MJ-4V-9																		5,105		1
Signet P Profit No Is for 108899 Sont P Profit No Is for 108899 Sont P Profit No Is for 108899 Profit No Is for 10889 Profit No Is for 108899 Profit No Is for 108899 Profit No Is for 108899 Profit No Is for 10889 Prof						(f															1
3288-V4 Fredit Nac 104 et 108873 3.000 3.000/205 1.000 1.000 1.000 1.000 1.000 3.000 3.000/205 1.000/205 1.000/205 1.000/205						·····															
1388-05 Fredic Unic of 6 (4887) 2.001 0.907/28 pipen 0.7.30 8.9.00 8.9.00 0.9.01 0.7.30 0.9.01 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00 0.9.01/28 1.0.00						••••••					• • • • • • • • • • • • • • • • • • • •										
3188-447 Fredie the de de VERSET 3.000 0.0001/200 Pactorn 97.20					Paydown																1
1288-169 Product law Each of an APREW 1.000 0.001/100 0.001/100 0.001/100 <td>3128MJ-W5-5</td> <td>Freddie Mac Gold Pool #G08667 3.500% 0</td> <td></td> <td>09/01/2019</td> <td>Paydown</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>(2,514)</td> <td>(2,514)</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>09/01/2045</td> <td> 1</td>	3128MJ-W5-5	Freddie Mac Gold Pool #G08667 3.500% 0		09/01/2019	Paydown							(2,514)	(2,514)							09/01/2045	1
1288-169 Product law Each of an APREW 1.000 0.001/100 0.001/100 0.001/100 <td>3128MJ-W9-7</td> <td>Freddie Mac Gold Pool #G08671 3.500% 1</td> <td></td> <td>09/01/2019</td> <td>Paydown</td> <td></td> <td>84.720</td> <td>84.720</td> <td>87.288</td> <td>87.156</td> <td></td> <td>(2.436)</td> <td>(2,436)</td> <td></td> <td>84.720</td> <td></td> <td></td> <td></td> <td>2.005</td> <td>10/01/2045</td> <td>1</td>	3128MJ-W9-7	Freddie Mac Gold Pool #G08671 3.500% 1		09/01/2019	Paydown		84.720	84.720	87.288	87.156		(2.436)	(2,436)		84.720				2.005	10/01/2045	1
31388						(571 //30														1
31388-UP-0 Fredite like ford PACTRS 3.0001 9.001/2008 1.388 1001/2008 1																					4
3280-26 Friedrie the Oil Port 1800 (1) 900/1209 Paylon 14.68 54.69 (13) (13) 14.98 paylon 222 070/2201 222 070/2201 222 070/2201 222 070/2201 222 070/2201 222 070/2201 223 070/2201 223 070/2201 223 070/2201 223 070/2201 223 070/2201 223 070/2201 223 070/2201 223 070/2201 223 070/2201 223 070/2201 223 070/2201 223 070/2201 223 070/2201 223 070/2201 233 070/2201 233 070/2201 233 070/2201 070/22						t								•••••				•••••			
32888-02 Fredie the Old Poil 418831 2.000 5.08 1.08 0.01 0.0702200 1.08 32888-04 Fredie the Old Poil 41880 2.001 6.0782/03 Predie the Old Poil 41880 2.001 7.08 2.1.39 2.1.3																					1
312884-74 Frederis las Cale Pole (19698 2.5001 L) 870,9219 Morgan S1(h)+3 970,921 Morgan S1(h)+3 970,921 Morgan S1(h)+3 2,00 278,91 Morgan S1(h)+3 2,00 2,0	3128MJ-ZF-0	Freddie Mac Gold Pool #G08741 3.000% 0		09/01/2019	Paydown															01/01/2047	1
312888-174 Fredie lise Gal Pool (1988) 2.001 2.00 76,591 2.00 2.00 76,591 2.00 2.001		Freddie Mac Gold Pool #G18631 2.500% 0		.09/01/2019	Pavdown			64,688	64.835	64.816		(128)	(128)		64.688				1.081	.02/01/2032	. 1
312884-14 Fredie lle Gale Pal Filses 2 500.1 00/01/2018 Pryden 778 500 778 50						1	817 960			796 392					796 591		21 369	21 369	12 297		1
312884-95 Fredelis No. Gol Peri 108868 2.00 0 (001/1070) Prydram 7.06																		L			4
312888											• • • • • • • • • • • • • • • • • • • •			•••••							
312884																					
31288/4-4 Fredite like Girl Poul (16/888) 30.001 49/01/2019 Psychon 379,888 197,888 197,888 197,888 197,888 197,888 197,888 197,888 197,888 197,888 177,1 197,888 177,1 197,888 177,1 197,888 177,1 197,888 177,1 177,1 197,888 177,1					. Paydown																1
31288/44 Fredite line Girl Pearl (#1588) 0.00 (1/210) Prydon 97,888 198,988 198,988 198,988 198,988	3128MM-XF-5	Freddie Mac Gold Pool #G18677 3.000% 0			Paydown		218,785												4,416	. 02/01/2033	1
31282-H-3 Fredite list of legel 400888 7.500 1				09/01/2019	Paydown		197 808	197 808	197 066	197 087		721	721		197 808				3 987	03/01/2033	1
31220-0-13 Fredite list Gold Poil 400003 7,0000 0017/2018 Paydom 01707203 1. 011						[2		1
312204-0-8 Fredite las Gold Pool (20108 7 0.001/2019 Paydom												(1)	(1)								
31228+7-6 Fredrie las Gold Pool 100078 7.000 1 0.901/2018 Paydom 13<						••••••					• • • • • • • • • • • • • • • • • • • •	(1)	(1)	•••••					4		
31262-2-2-6 Fredit las (cold Pool 403914 4.00% 0						<u>↓</u> ↓	9	·····»	9	· · · · · · · · · · · · · · · · · · ·		·			9				⊦ Į		
31262-2-2-6 Fredit las (cold Pool 403914 4.00% 0	31292H-FT-6	Freddie Mac Gold Pool #C01078 7.000% 1		09/01/2019	Paydown	jl													µ1	. 10/01/2030 .	1
31288-12-7 Fredie Ins Cold Pool 1489782 4.000.1			I			L		696	697	696	L	L	L		696						
1312380-04-4 Freddie lake Gold Pool +23218 6.5008.0						1	080	080											27		1
13238-B-3 Fredite las Gold Pool (42274 7, 00001 0.907/2019 Paydoan 1.168 1.06 2 2 1.08 -5 1.107/2024 1 132454-D-3 Fredite las Gold Pool 145700 4, 0000 0 0.907/2019 Paydoan 1.453 1.474 1.473 (21) (21) 1.453 4.6 0.207/2014 1 1.453 4.6 0.207/2014 1.453 4.6 0.207/2014 1.453 4.6 0.207/2014 1.453 4.6 0.207/2014 1.453 4.6 0.207/2014 1.453 4.6 0.207/2014 1.453 4.6 0.207/2014 1.453 4.6 0.207/2014 1.2 4.72 4.712 4.68 0.27 7.5 4.772 4.68 0.207/2014 1.007/20						·····															
312342-0-3 Fredie las Gold Pool #39700 4.005.0 D9/11/2019 Paydom 1.749 1.749 1.771 1.721 2.8 2.8 1.749 4.63 02/01/2041 1. 312342-67-0 Fredie las Gold Pool #39700 4.005.0 D9/01/2019 Paydom 1.453 1.453					.,	∤ ŀ						h							۱ ۲		·[:
312349-4-50 Freddi lac Gold Poel #487900 4.508 0. .09/01/2019 Paydom 1.453 .1.473 .(21) .(21) .1.433						<u>∤</u>					·····					·····					1
312349-4-50 Freddi lac Gold Poel #487900 4.508 0. .09/01/2019 Paydom 1.453 .1.473 .(21) .(21) .1.433	312945-ZD-3	Freddie Mac Gold Pool #A97040 4.000% 0			Paydown		1,749												46	02/01/2041 .	
31298-46-8 Freddie las Gold Pool #497301 4.008 0	312946-X5-0	Freddie Mac Gold Pool #A97900 4.500% 0		09/01/2019	Paydown	L	1.453	1.453		1.473			(21)							03/01/2041	
31289H-UR-4 I redici Nac Gold Pool #C46902 6.500% 0 09/01/2019 Paydown 12 <						1													126		1
31288/// F-5 Freddie Mac Gold Pool #C51162 6.500% 0						(b															
.3132HI+SN-1 Freddie Mac Gold Pool #Q44452 3.00% 1						ł ŀ					·····	·····	h	·		·····			r		·[
3138H-OP-2 Fredite Mac Gold Pool #611879 5.0008 1 .09/01/2019 Paydown 2 .22						h															1
3138W-CP-2 Fredie Mac Gold Pool #G11879 5.000s 1 .09/01/2019 Paydom 3,377 .3,377 .3,305 .22 .22 .3,377 .22	3132WH-5N-1	Freddie Mac Gold Pool #Q44452 3.000% 1		09/01/2019	Paydown								1,581							11/01/2046 .	
31371_JKE-3 Fannie Mae Pool #253293 8.00% 03/01/2 09/01/2019 Paydown 22 22 22 22 22 22 22 1 03/01/2020 1 31370-JWE-5 Fredie Mac Structured Agency STACR 2017 0.9/01/2019 Paydown 36.019 36.017 30.017/20120 37.017/201	31336W-CP-2	Freddie Mac Gold Pool #G11879 5.000% 1		09/01/2019	Paydown	L														. 10/01/2020	
.3137G0-NW-5 Fredie Mac Structured Agency STACR 2017 .09/25/2019 Paydown .06019 .360.019			1			1						[1 1		1
31384/-24-9 Famie Mae Pool #538621 7.000% 11/01/2 .09/01/2019 Paydown .1 .11			1			{ t					[(190)	(100)			[101		1
31384V-2A-9 Fannie Mae Pool #535246 6.500% 07/01/3 .09/01/2019 Paydown 1 31384V-72A-9 Fannie Mae Pool #535245 6.500% 05/01/3 .09/01/2019 Paydown 69 .69			· · · · · · ·							***											·[
31384V-T8-5 Fannie Mae Pool #535275 6.500% 05/01/3 .09/01/2019 Paydown 1 .05/01/2030 1 31384V-T6-9 Fannie Mae Pool #535275 6.500% 05/01/3 .09/01/2019 Paydown 13 13 13 13 13 13 1 1 0.05/01/2030 <td></td> <td>(1)</td> <td>(1)</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>··[</td>												(1)	(1)								··[
31384/-2C-9 Fannie Mae Pool #535439 8.000% 08/01/3 .09/01/2019 Paydown .13 .13 .13 .13 .13 .14 .06/01/2030 .1 .31384/-2C-9 Fannie Mae Pool #535439 8.000% 08/01/3 .09/01/2019 Paydown .33 .331 .331 .333 .333 .333 .333 .333 .334 .20 .12 .320 .14 .06/01/2030 .1 .313847-20-5 Fannie Mae Pool #AH5658 4.000% 02/01/4 .09/01/2019 Paydown .222 .322 .326 .41 .41 .06/01/2030 .1 .313847-20-5 Fannie Mae Pool #AH5658 4.000% 02/01/4 .09/01/2019 Paydown .222 .327 .326 .41 .06/01/2014 .14 .06/01/2014 .1 .313847-20-5 Fannie Mae Pool #AH5658 4.000% 02/01/4 .09/01/2019 Paydown .2024 .2024 .1980 .34 .34 .2,024 .353 .02/01/2014 .1 .3138EF-667-4 Fannie Mae Pool #AL921 3.50% 08/01/4 .09/01/2019 Paydown .4,675 .4,715 .400 .400					Paydown								4								1
31384/-2C-9 Fannie Mae Pool #535439 8.000% 08/01/3 .09/01/2019 Paydown .13 .13 .13 .13 .13 .14 .06/01/2030 .1 .31384/-2C-9 Fannie Mae Pool #535439 8.000% 08/01/3 .09/01/2019 Paydown .33 .331 .331 .333 .333 .333 .333 .333 .334 .20 .12 .320 .14 .06/01/2030 .1 .313847-20-5 Fannie Mae Pool #AH5658 4.000% 02/01/4 .09/01/2019 Paydown .222 .322 .326 .41 .41 .06/01/2030 .1 .313847-20-5 Fannie Mae Pool #AH5658 4.000% 02/01/4 .09/01/2019 Paydown .222 .327 .326 .41 .06/01/2014 .14 .06/01/2014 .1 .313847-20-5 Fannie Mae Pool #AH5658 4.000% 02/01/4 .09/01/2019 Paydown .2024 .2024 .1980 .34 .34 .2,024 .353 .02/01/2014 .1 .3138EF-667-4 Fannie Mae Pool #AL921 3.50% 08/01/4 .09/01/2019 Paydown .4,675 .4,715 .400 .400	31384V-T8-5	Fannie Mae Pool #535275 6.500% 05/01/3		.09/01/2019	Paydown	L						L					I			05/01/2030	
.31384#L-C-2 Fannie Mae Pool #535923 6.500% 05/01/3 .09/01/2019 Paydown			1			1 1	13	13	13				1		13				1 1		1
3138A7-2B-3 Fannie Mae Pool #AH6169 4.50% 02/01/4 .09/01/2019 Paydown						(f						(2)	(0)						44		1
						• •													14		
						· ·															··[
					Paydown																
	3138EK-G7-4	Fannie Mae Pool #AL2921 3.500% 08/01/4		09/01/2019	Paydown	L	4.675				L	(40)	(40)		4.675		I			08/01/2042	
						1															1
						(1
		1 annie mae ruui main230 3.000% 00/01/4			1 ayuuwii	<u>,</u>			ນອຸບ20					h							<u></u>

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

4	0		5		8	9	10			0			40	47	40	40	00	04	00
1	2	3 4	5	0 /	0	9	10		<u> </u>	ok/Adjusted			16	17	18	19	20	21	22 NAIC
								11	12	13	14	15							-
																			Desig-
											Total	Total							nation
										Current	Change in	Foreign							and
										Year's	Book/	Exchange	Book/				Bond		Admini-
							Prior Year		Current	Other Than	Adjusted	Change in	Adjusted	Foreign			Interest/	Stated	strative
							Book/	Unrealized	_	Temporary	Carrying	Book	Carrying	Exchange	Realized		Stock	Con-	Symbol
CUSIP			Ni	umber of			Adjusted	Valuation	(Amor-	Impairment	Value	/Adjusted	Value at	Gain	Gain	Total Gain	Dividends	tractual	/Market
Ident-		For- Disposal		hares of Consid		Actual	Carrying						Disposal			(Loss) on	Received	Maturity	Indicator
	Description			-				Increase/	tization)/	Recog-	(11 + 12 -	Carrying		(Loss) on	(Loss) on				
ification	Description	eign Date		Stock eratio		Cost	Value	(Decrease)	Accretion	nized	13)	Value	Date	Disposal	Disposal	Disposal	DuringYear	Date	(a)
	Fannie Mae Pool #AT7620 3.000% 06/01/4		Paydown															06/01/2043	. 1
31393X-RT-6	Fannie Mae REMICS 04-25 TA 5.500% 07/2		Paydown	19,					108		108							07/25/2033	. 1
31402Q-4B-4	Fannie Mae Pool #735318 4.500% 11/01/1		Paydown		.13												127	11/01/2019	. 1
31403C-XQ-9 31403D-RH-4	Fannie Mae Pool #745087 5.500% 12/01/3 Fannie Mae Pool #745788 4.527% 08/01/3		. Paydown Paydown		81			•••••	15		15	•••••	3,533 181		•••••			12/01/2035 08/01/2036	
31403D-SE-0	Fannie Mae Pool #745766 4.527% 08/01/3		Paydown		45			•••••				•••••						09/01/2036	
31405B-7F-7	Fannie Mae Pool #797494 4.500% 03/01/3		Paydown		45												23	03/01/2035	
	Fannie Mae Pool #814766 5.000% 04/01/3		Paydown	······	44													04/01/2035	1
	Fannie Mae Pool #826500 5.000% 08/01/3		Pavdown		4.352				153				4.352				150	.08/01/2035	1
31407M-MR-3	Fannie Mae Pool #834768 5.500% 07/01/3		Pavdown		1,005								1.005				35	07/01/2035	1
31412M-5A-2	Fannie Mae Pool #929741 6.000% 07/01/3		Paydown						(57)		(57)							07/01/2038	. 1
31412N-3Q-7	Fannie Mae Pool #930607 4.000% 02/01/3		Paydown			1,295	1,294		(1)		(1)		1,293						. 1
31412Q-P5-2	Fannie Mae Pool #932044 4.500% 09/01/3		Paydown		814				(5)		(5)							09/01/2039	. 1
31413E-CY-9	Fannie Mae Pool #942987 6.000% 09/01/3		Paydown		70				(12)		(12)						6	09/01/2037	. 1
31414N-HG-2	Fannie Mae Pool #971031 4.000% 01/01/3		Paydown		18				(1)		(1)							01/01/2039	. 1
31416T-NQ-8	Fannie Mae Pool #AA9398 3.500% 07/01/3		Paydown		402,740				(7)		(7)		2,740				64	07/01/2039	. 1
31417F-YA-0	Fannie Mae Pool #AB8804 2.500% 03/01/4		Paydown															03/01/2043	. 1
31417W-SK-8	Fannie Mae Pool #AC9521 4.000% 01/01/4		Paydown		1,454													01/01/2040	. 1
31418B-5E-2	Fannie Mae Pool #MA2644 2.500% 06/01/3		Paydown	61,					(1,461)		(1,461)						1,017	06/01/2031	. 1
31418C-5U-4	Fannie Mae Pool #MA3558 3.000% 01/01/3		. Paydown						(6,292)		(6,292)	•••••						01/01/2034	. 1
31418C-BF-0 31418C-DH-4	Fannie Mae Pool #MA2737 3.000% 09/01/4 Fannie Mae Pool #MA2803 2.500% 11/01/3		. Paydown					•••••	(1,166) (83)		(1,166) (83)	•••••						09/01/2046 11/01/2031	
31418C-DL-5	Fannie Mae Pool #MA2803 2.500% 11/01/3		Paydown Pavdown						(83) (93)		(83) (93)	•••••						11/01/2031	
	Fannie Mae Pool #MA2800 2.500% 12/01/4		Paydown						(93)		(93)								
31418C-EC-4	Fannie Mae Pool #MA2863 3.000% 01/01/4		Paydown						(437)		(437)							01/01/2037	1
31418C-GG-3	Fannie Mae Pool #MA2898 2.500% 02/01/3		Paydown															02/01/2032	1
31418C-PK-4	Fannie Mae Pool #MA3125 3.000% 09/01/3		Paydown															.09/01/2032	1
31418C-QA-5	Fannie Mae Pool #MA3148 3.500% 10/01/4		Paydown						(1,150)		(1, 150)							10/01/2047	. 1
31418C-SG-0	Fannie Mae Pool #MA3218 3.000% 12/01/3		Paydown																. 1
31418C-UJ-1	Fannie Mae Pool #MA3284 3.500% 02/01/3		Paydown																. 1
31418C-WS-9	Fannie Mae Pool #MA3356 3.500% 05/01/4		Paydown						65		65							05/01/2048	. 1
31418D-C5-9	Fannie Mae Pool #MA3691 3.000% 07/01/4		. Paydown						(130)		(130)							07/01/2049	. 1
31418D-EK-4	Fannie Mae Pool #MA3737 2.500% 08/01/3		Paydown						(73)		(73)		5,349				11	08/01/2034	. 1
31418M-WA-6	Fannie Mae Pool #AD0640 6.000% 03/01/3		Paydown		48148				(11)		(11)						6	03/01/2039	. 1
31419B-YR-0	Fannie Mae Pool #AE1619 3.500% 10/01/4		Paydown		673				(2)		(2)		<u>6</u> 73				16	10/01/2040	. 1
	Fannie Mae Pool #AE2570 3.500% 09/01/4		Paydown		875			·	(7)		(7)					·		09/01/2040	·[]·····
31419F-FC-5	Fannie Mae Pool #AE4662 3.500% 10/01/4		Paydown Call 100 0000		26													10/01/2040	1 1FF
343136-L6-2 60416Q-FU-3	Florida's Turnpike Enterprise FL TPK AUT																5,833 3,142	07/01/2025 12/01/2042	1FE
	Minnesota Housing Finance Agen MN HSG FI Minnesota Housing Finance Agen MN HSG FI		. Redemption 100.0000															12/01/2042	1FF
	Minnesota Housing Finance Agen MINNESOTA							 										03/01/2043	1FE
	Minnesota Housing Finance Agen MN HSG FI		Redemption 100.0000															11/01/2045	1FE
	Minnesota Housing Finance Agen MN HSG FI		Redemption 100.0000	457.					8.657		8.657						8.940	02/01/2046	1FE
	Minnesota Housing Finance Agen MN HSG FI		Redemption 100.0000															.04/01/2046	1FE
	Minnesota Housing Finance Agen MN HSG FI										10,089							10/01/2046	1FE
60416Q-HE-7	Minnesota Housing Finance Agen MN HSG FI		. Redemption 100.0000															09/01/2048	. 1FE
60416Q-HG-2	Minnesota Housing Finance Agen MN HSG FI		. Redemption 100.0000	9,	64		9,564											11/01/2048	1FE
	Minnesota Housing Finance Agen MN HSG FI		. Redemption 100.0000															03/01/2049	. 1FE
3199999. \$	Subtotal - Bonds - U.S. Special Reven	ues		9,068,	9,062,640	8,963,746	7,700,655		78,384		78,384	\square	9,046,981		21,369	21,369	166,730	XXX	XXX
02377B-AA-4	American Airlines 2015-2 Class AAL 4 09/		. Redemption 100.0000		31												1,421	09/22/2027	. 1FE
	British Airways 2013-1 Class A IAGLN 4 5		. Redemption 100.0000		03				(15)		(15)							06/20/2024	. 1FE
	Burlington Northern and Santa BNSF 7.16			1,			1,670		4		4		1,674					01/02/2020	1FE
	Credit-Based Asset Servicing & 05-CB5 AF		Paydown	1,											1,831	1,831	45	08/25/2035	. 1FM
	CCG Receivables Trust 2016-1 16-1 A2 1		Paydown														1,056	09/14/2022	1FE
	Capital Auto Receivables Asset 18-1 A2A		Paydown														1,483	10/20/2020	1FE
14314J-AC-4	CarMax Auto Owner Trust 2017-1 17-1 A3		Paydown	140,					850		850						1,853	11/15/2021	1FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

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1	2	3	4	5	6	7	8	9	10		ange In Bo	ok/Adjusted	Carrying Va	1	16	17	18	19	20	21	22
										11	12	13	14	15							NAIC
																					Desig-
													Total	Total							nation
												Current	Change in	Foreign							and
												Year's	Book/	Exchange	Book/				Bond		Admini-
									Prior Year		Current	Other Than		Change in	Adjusted	Foreign			Interest/	Stated	strative
									Book/	Unrealized	Year's	Temporary		Book	Carrying	Exchange	Realized		Stock	Con-	Symbol
CUSIP					Number of				Adjusted	Valuation	(Amor-	Impairment		/Adjusted	Value at	Gain		Total Gain	Dividends	tractual	/Market
Ident-		For-	Disposal	Name	Shares of	Consid-		Actual	Carrying	Increase/	tization)/	Recog-	(11 + 12 -	Carrying	Disposal	(Loss) on	(Loss) on	(Loss) on	Received	Maturity	
ification	Description	eign		of Purchaser	Stock	eration	Par Value	Cost	Value	(Decrease)	Accretion	nized	13)	Value	Date	Disposal	Disposal		DuringYear	Date	(a)
	Chrysler Capital Auto Receivab 16-AA B	cigii	09/15/2019	Pavdown	Olock					(Decrease)	737			value		Disposal	Disposal	Disposal	9.653		()
	Comcast Corp CMCSA 5.15 03/01/20 5.150			Call 101.4700										•••••			10	10	9,930		1FE
	Delta Air Lines 2015-1 Class A DAL 3 5/8		07/30/2019	Redemption 100.0000																07/30/2027	1FE
	Enterprise Fleet Financing LLC 16-2 A3		09/20/2019	Paydown																	1FE
	Enterprise Fleet Financing LLC 17-3 A2		09/20/2019	Paydown															1,300	05/22/2023	. 1FE
	Enterprise Fleet Financing 201 18-2 A2			Paydown			60,304				(471)		(471)								
	Foursight Capital Automobile R 16-1 A2		09/15/2019	Paydown							(179)		(179)						1,519		. 1FE
	Hawaiian Airlines 2013-1 Class HA 4.95 0		07/15/2019	Redemption 100.0000								•••••		•••••					1,372		. 3FE
	Honda Auto Receivables 2016-2 16-2 A4 JG Wentworth XXII LLC 10-3A A 3.820% 1		09/15/2019 09/15/2019	Paydown Pavdown						•••••	2,573		2,573	•••••					3,515 22	08/15/2022 12/15/2048	
	Dominion Energy South Carolina SCG 4 1/4		09/13/2019	Direct H.OB		1.395.125	1.250.000	1.246.875					181								1FF
	Warner Media LLC TWX 3.4 06/15/22 3.40			Tax Free Exchange			1,200,000						101							06/15/2022	2FE
	United Airlines 2013-1 Class A UAL 4.3 0		08/15/2019	Various											,39,872		(200)		1.715		
	WFRBS Commercial Mortgage Trus 13-C15 AS		09/01/2019	Paydown							(194)		(194)							.08/15/2046	1FM
	Wisconsin Power & Light Co LNT 5 07/15/1		07/15/2019	Various		1,700,000	1,700,000		1,701,672		(1,672)		(1,672)		1,700,000						
	PepsiCo Inc PEP 4 1/2 01/15/20		09/30/2019	Call 100.0000							(7)		(7)				(179)	(179)	4, 124		. 1FE
	Air Canada 2015-1 Class A Pass ACACN 3.6	Α		Redemption 100.0000							432		432								. 1FE
	ubtotal - Bonds - Industrial and Misce	ellane	ous (Unaffili	ated)		5,208,011	5,059,151	5,076,555	4,988,987		4,866		4,866		5,054,637		149,389	149,389	182,940	XXX	XXX
8399997. T	otal - Bonds - Part 4					42,697,613	41,783,110	42,419,491	12,750,405		83,805		83,805		42,481,403		212,225	212,225	489,926	XXX	XXX
8399998. T	otal - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. T	otal - Bonds					42,697,613	41,783,110	42,419,491	12,750,405		83,805		83,805		42,481,403		212,225	212,225	489,926	XXX	XXX
8999997. T	otal - Preferred Stocks - Part 4						XXX													XXX	XXX
8999998. T	otal - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. T	otal - Preferred Stocks						XXX													XXX	XXX
9799997. T	otal - Common Stocks - Part 4						XXX													XXX	XXX
9799998. T	otal - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. T	otal - Common Stocks						XXX													XXX	XXX
9899999. T	otal - Preferred and Common Stocks	5					XXX													XXX	XXX
9999999 -	Totals					42,697,613	XXX	42,419,491	12,750,405		83,805		83,805	1	42,481,403		212,225	212,225	489,926	XXX	XXX
	ommon stock bearing the NAIC mark				с <u>і</u>		•				•		•								

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

-							3, Oap3, 1 10		rs, Swaps a		us open a											
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
										Cumulative												1
										Prior	Current											1
	Description										Year Initial											1
									01-11-1	Year(s)											0	I
	of Item(s)								Strike	Initial Cost	Cost of										Credit	Hedge
	Hedged,								Price,	of Un-	Un-						Total	Current	Adjustment		Quality	Effectiveness
	Used for		Type(s)			Date of			Rate or	discounted	discounted		Book/			Unrealized	Foreign	Year's	to Carrying		of	at Inception
	Income	Schedule/	of			Maturity	Number		Index	Premium	Premium	Current	Adjusted			Valuation	Exchange	(Amorti-	Value of		Refer-	and at
	Generation	Exhibit	Risk(s)	Exchange, Counterparty	Trade	or	of	Notional	Received	(Received)	(Received)	Year	Carrying			Increase/	Change in	zation)/	Hedged	Potential	ence	Quarter-end
Description	or Replicated	Identifier	(a)	or Central Clearinghouse	Date	Expiration		Amount	(Paid)	Paid	Paid	Income	Value	Code	Fair Value	(Decrease)	B./A.C.V.	Accretion	Item	Exposure	Entity	(b)
					Dale	Expiration	CUIIIIACIS	Amount	(Falu)	Falu	Faiu	Income	value	-		(Declease)	D./A.C.V.	Accretion	nem	Exposure		· · /
	otal - Purchased Op	otions - Hedg	ing Effective						-					XXX							XXX	XXX
OTC Option Call																						1
08/20/2020	FIUL Hedge	Exhibit 5	Equity/Index.	Barclays Bank PLC _ G5GSEF7VJP5170UK5573	08/15/2019 .	08/20/2020 .		3,000,000	2,848													0001
OTC Option Call																						, I
11/14/2019	FIUL Hedge	Exhibit 5	Equity/Index.	Barclays Bank PLC . G5GSEF7VJP5170UK5573	11/15/2018 .	11/14/2019 .		8,820,000	2,730													0001
OTC Option Call				Royal Bank of Canad																		1
02/20/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11	02/14/2019 .	02/20/2020 .		7,000,000	2,746													0001
OTC Option Call	-			Royal Bank of Canad																		1
02/20/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RH1GC71XBU11	02/14/2019 .	02/20/2020 .																0001
OTC Option Call				Royal Bank of Canad																		
03/19/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11	03/14/2019 .	03/19/2020 .		4,000,000						1								0001
OTC Option Call			,,,	Royal Bank of Canad					2,000		200,000											
03/19/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11	03/14/2019 .	03/19/2020 .		4,000,000	2,808					1								0001
OTC Option Call	I IOL Houge	Exmort J	Equility/ muex.	Royal Bank of Canad																		
05/14/2020	FIUL Hedge	Exhibit 5	Fauity/Index	ES71P3U3RHIGC71XBU11	05/16/2019	05/14/2020 .	4,172															0001
OTC Option Call	I TOL HEUGE	EXHIBIT 3	Equity/Index.					12,000,000	2,0/0													
	EIII Hadga	Evhibit 5	Eauity (1-4	Royal Bank of Canad	05/10/0010	05/14/0000	005	0 000 000	0.070		104 050		150 700		150 700	00 070						0001
05/14/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RH1GC71XBU11	05/16/2019 .	05/14/2020 .	695	2,000,000	2,876				153,720									0001
OTC Option Call				Royal Bank of Canad																		l
06/18/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RH1GC71XBU11	06/20/2019 .	06/18/2020 .	1,016	3,000,000	2,954							6,480						0001
OTC Option Call				Royal Bank of Canad																		1 1
06/18/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RH1GC71XBU11	06/20/2019 .	06/18/2020 .	1,016	3,000,000	2,954							6,930						0001
OTC Option Call				Royal Bank of Canad																		1 1
07/16/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11	07/18/2019 .	07/16/2020 .		5,000,000	2,995							(25,750)						0001
OTC Option Call				Royal Bank of Canad																		1 1
07/16/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11	07/18/2019 .	07/16/2020 .		10,000,000	2,995							(51,500)						0001
OTC Option Call	-			Royal Bank of Canad																		1 1
10/17/2019	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11	10/18/2018 .	10/17/2019 .		4,000,000														0001
OTC Option Call	-		. ,	Royal Bank of Canad																		, I
11/14/2019	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RH1GC71XBU11		11/14/2019 .		6,500,000														0001
OTC Option Call				Royal Bank of Canad			,		,	,												, I
12/19/2019	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11						1,050,840			2,651,544		2,651,544	1,586,970						0001
OTC Option Call	1 TOL Hougo		Equility/ maon;	Royal Bank of Canad																		
12/19/2019	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11				4,500,000														0001
OTC Option Call	TTUL Heuge	LAIIIDIL J	Equility/ muex.	Wells Fargo Bank NA	12/20/2010 .	12/ 13/2013 .			2,40/													0001
01/16/2020	FIUL Hedge	Exhibit 5	Fauity/Index	KB1H1DSPRFMYMCUFXT09	01/17/2019 .	01/16/2020 .		17,000,000	2,636		1, 174, 700		2,402,440	1	2,402,440	1,227,740						0001
OTC Option Call	i ior nende	EXHIBIT J	Equity/Index.	Wells Fargo Bank NA				17,000,000	2,030													
04/16/2020	EIII Hadga	Evhibit E	Equity (10-1		04/10/0010	.04/16/2020	0.410	7,000,000			426,300		460 460		460 460	24 400						0001
	FIUL Hedge	Exhibit 5	Equity/Index_	KB1H1DSPRFMYMCUFXT09	04/18/2019 .		2,410		2,905													
OTC Option Call	EUU Usdas	FURTHER F	Environ (1.1	Wells Fargo Bank NA	04/10/0010	04/10/0000	0.005	0 000 000	0.005		005 400		004 000		004 000	00 500						0001
04/16/2020	FIUL Hedge	Exhibit 5	Equity/Index.	KB1H1DSPRFMYMCUFXT09	04/18/2019 .	04/16/2020 .	2,065	6,000,000	2,905													0001
OTC Option Call				Wells Fargo Bank NA	00 (15 (00))		4.755	F 000 000					170		170	400						10004
08/20/2020	FIUL Hedge	Exhibit 5	Equity/Index_	KB1H1DSPRFMYMCUFXT09	08/15/2019 .	08/20/2020 .	1,756	5,000,000	2,848						476 , 450							0001
OTC Option Call		-		Wells Fargo Bank NA																		1 1
09/17/2020	FIUL Hedge	Exhibit 5	Equity/Index.	KB1H1DSPRFMYMCUFXT09	09/19/2019 .	09/17/2020 .	665	2,000,000	3,007				117,520			(12,580)						0001
OTC Option Call		1		Wells Fargo Bank NA										1								, 1
09/17/2020	FIUL Hedge	Exhibit 5	Equity/Index.	KB1H1DSPRFMYMCUFXT09	09/19/2019 .	09/17/2020 .		8,000,000						······		(49,920)			·····			0001
0089999999. Subt	otal - Purchased Op	tions - Heda	ing Other - (Call Options and Warrants						2,846,072	6,661,900		14,339,896	XXX	14,339,896	5,714,321					XXX	XXX
	otal - Purchased Op			· · ·						2,846,072	· · · · · ·		14,339,896	XXX	14,339,896	5,714,321					XXX	XXX
	total - Purchased Op									2,040,072	0,001,000		14,000,000	XXX	14,000,000	0,717,021			1		XXX	XXX
	otal - Purchased Op		ne Generatio	n										XXX							XXX	XXX
0359999999. Subt	otal - Purchased Op	otions - Other	-											XXX							XXX	XXX
0369999999. Total	I Purchased Options	s - Call Optio	ns and War	rants						2,846,072	6,661,900		14,339,896	XXX	14,339,896	5,714,321					XXX	XXX
	I Purchased Options									_,,0/2	-,,000		,,	XXX	,,	2,,021			1		XXX	XXX
			10										+	XXX							XXX	XXX
	I Purchased Options																					
	I Purchased Options													XXX							XXX	XXX
0409999999. Total	I Purchased Options	s - Collars												XXX							XXX	XXX
	I Purchased Options													XXX							XXX	XXX
2										1	1											

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

							s, Caps, r		rs, Swaps	anu Fulwa	us Open a		ni Stateme	ni Dale								
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
										Cumulative												
										Prior	Current											
											Current											
	Description									Year(s)	Year Initial											
	of Item(s)								Strike	Initial Cost	Cost of										Credit	Hedge
	Hedged,								Price,	of Un-	Un-						Total	Current	Adjustment		Quality	Effectiveness
			$T_{\rm MDR}(a)$			Data of					_		Beek/			Uproplized		Year's				
	Used for		Type(s)			Date of			Rate or	discounted	discounted		Book/			Unrealized	Foreign		to Carrying		of	at Inception
	Income	Schedule/	of			Maturity	Number		Index	Premium	Premium	Current	Adjusted			Valuation	Exchange	(Amorti-	Value of		Refer-	and at
	Generation	Exhibit	Risk(s)	Exchange, Counterparty	Trade	or	of	Notional	Received	(Received)	(Received)	Year	Carrying			Increase/	Change in	zation)/	Hedged	Potential	ence	Quarter-end
Description	or Replicated	Identifier	(a)	or Central Clearinghouse	Date	Expiration	Contracts	Amount	(Paid)	Paid	Paid	Income	Value	Code	Fair Value	(Decrease)	B./A.Č.V.	Accretion	Item	Exposure	Entity	(b)
			(u)	or bentrar bleannighbase	Date	Expiration	Contracts	Anount	(1 ald)			moome					D./A.O.V.	Accivition	licili	Exposure		
	al Purchased Optior									2,846,072	6,661,900		14,339,896	XXX	14,339,896	5,714,321					XXX	XXX
0499999999. Sub	ototal - Written Optic	ons - Hedging	Effective											XXX							XXX	XXX
OTC Option Call																						
08/20/2020	FIUL Hedge	Exhibit 5	Equity/Index.	Barclays Bank PLC _ G5GSEF7VJP5170UK5573 _	.08/15/2019	.08/20/2020	1,053	3,255,000			(79,800)		(129,451)		(129,451)	(49,651)						0001
OTC Option Call	1 TOL HOUGO		Equility/ maon																			
11/14/2019	EUU Under	Fubilitie F	E A / La dau		11/15/0010	11/14/0010	0.001	0 415 000	0.014	(000 545)			(000 411)		(000 411)	(000 400)						0001
	. FIUL Hedge	Exhibit 5	Equity/Index.		11/15/2018	11/14/2019 .		9,415,000	2,914	(328,545)			(339,411)	•••••	(339,411)	(233,492)			• • • • • • • • • • • • • • • • • • • •			0001
OTC Option Call				Royal Bank of Canad																		
02/20/2020	. FIUL Hedge	Exhibit 5	Equity/Index_	ES71P3U3RHIGC71XBU11 .	02/14/2019	02/20/2020 .	2,549	7,770,000	3,048		(115,150)		(201,554)		(201,554)	(86,404)						0001
OTC Option Call				Royal Bank of Canad	1	1																
02/20/2020	. FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11	02/14/2019	02/20/2020 .			2,979		(144,300)		(259, 163)		(259, 163)	(114,863)						0001
OTC Option Call	· · · · · ·			Royal Bank of Canad		1																
03/19/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RH1GC71XBU11	03/14/2019	03/19/2020 .	1,424	4,340,000			(82,400)		(130,026)		(130,026)	(47,626)			1			0001
OTC Option Call			=quity/inuex.																			
	E ULI, Usala	FURTHING F	Emiliar (1.1	Royal Bank of Canad	00/14/0010	00/10/0000	4 404	4 440 000			(50.400)		(04 007)		/04 007	(04.007)			1			0001
03/19/2020	. FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RH1GC71XBU11	03/14/2019	03/19/2020 .	1,424	4,440,000	3, 117		(50,400)				(81,607)	(31,207)						0001
OTC Option Call		I		Royal Bank of Canad		1			l .										1			
05/14/2020	. FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11 .	05/16/2019	05/14/2020 .		2, 170,000	3, 121		(39,850)		(53,317)		(53,317)	(13,467)						0001
OTC Option Call				Royal Bank of Canad																		
05/14/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11	.05/16/2019	05/14/2020 .				L	(150,300)		(198,734)		(198 , 734)	(48,434)			L	l		0001
OTC Option Call			Equility/ maon:	Royal Bank of Canad			·····															
06/18/2020	EIIII Hadga	Exhibit 5	Equity/Index	ES71P3U3RHIGC71XBU11	06/20/2019	06/18/2020 .		3, 330, 000			(36,450)		(30,803)		(30,803)	5,648						0001
	FIUL Hedge	EXILIDIT 5	Equity/Index.		00/20/2019					• • • • • • • • • • • • • • • • • • • •	(30,430)		(30,003)		(30,603)							0001
OTC Option Call				Royal Bank of Canad																		
06/18/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11 .	06/20/2019	06/18/2020 .	1,016	3,255,000	3,205		(56,100)		(53,773)		(53,773)	2,327						0001
OTC Option Call				Royal Bank of Canad																		
07/16/2020	FIUL Hedge	Exhibit 5	Equity/Index.	ES7IP3U3RHIGC71XBU11	07/18/2019	07/16/2020 .	1,669	5,425,000			(92,500)		(76,710)									0001
OTC Option Call	÷			Royal Bank of Canad												-						
07/16/2020	. FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RH1GC71XBU11	07/18/2019	07/16/2020 .			3,325													0001
OTC Option Call	I TOL Hedge	LAIIIDIL J	Equility/ muex.										(03,240)		(05,240)							0001
				Royal Bank of Canad	10 (10 (00 10	10 (17 (00 10				(00.000)			(1.000)		(1.000)	40.707						
10/17/2019	. FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RH1GC71XBU11	10/18/2018	10/17/2019 .	1,445	4,460,000	3,087				(1,606)		(1,606)							0001
OTC Option Call				Royal Bank of Canad																		
11/14/2019	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RH1GC71XBU11	11/15/2018		2,381		3,044	(132,925)						(22,324)						0001
OTC Option Call	÷			Royal Bank of Canad																		
12/19/2019	FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11	12/20/2018	12/19/2019 .		5,017,000	2,751	(145,800)			(472,200)		(472,200)	(330,570)						0001
OTC Option Call	THE Houge		Equility/ muox.	Royal Bank of Canad																		
12/19/2019	E UII - Under	FURTHER F	Emiliar (Inc)		10/00/00 10	10/10/0010	E 107	10 451 000	0.004	(000 740)			(1 055 700)		(1 055 700)	(1.000.050)						0001
	. FIUL Hedge	Exhibit 5	Equity/Index.	ES71P3U3RHIGC71XBU11 .	12/20/2018	12/19/2019 .	5, 107	13,451,000	2,634				(1,855,700)		(1,855,700)	(1,233,053)						0001
OTC Option Call				Wells Fargo Bank NA																		
01/16/2020	FIUL Hedge	Exhibit 5	Equity/Index.	KB1H1DSPRFMYMCUFXT09 .	01/17/2019	01/16/2020 .	6,449		2,939				(824,543)		(824,543)	(518,542)						0001
OTC Option Call				Wells Fargo Bank NA	1	1							1						1			
04/16/2020	. FIUL Hedge	Exhibit 5	Equity/Index.		04/18/2019	04/16/2020 .	2,410				(130,200)		(129,723)		(129,723)							0001
OTC Option Call				Wells Fargo Bank NA	1	1					, ,		,,		, ==,							
04/16/2020	FIUL Hedge	Exhibit 5	Equity/Index.	KB1H1DSPRFMYMCUFXT09	.04/18/2019	04/16/2020 .	2,065				(70,500)											0001
OTC Option Call			Equility/ Huex,	Wells Fargo Bank NA									(00,273)		(00,273)							
	FILL Use	FURTHING F	Emiliar (1.1		00 /15 /00 10	00/00/0000	4 750	E 550 000			(04.050)		(150 000)		(450,000)	(OF 000)			1			0001
08/20/2020	FIUL Hedge	Exhibit 5	Equity/Index.		08/15/2019	08/20/2020 .	1,756	5,550,000	3, 161		(91,250)		(156 , 288)		(156 , 288)	(65,038)						0001
OTC Option Call		- L	L	Wells Fargo Bank NA	L	1							1						1			
09/17/2020	FIUL Hedge	Exhibit 5	Equity/Index.		09/19/2019	09/17/2020 .	665	2, 175,000	3,270		(41,900)		(36,323)			5,578						0001
OTC Option Call				Wells Fargo Bank NA	1	1							1						1			
09/17/2020	FIUL Hedge	Exhibit 5	Equity/Index.	KB1H1DSPRFMYMCUFXT09	09/19/2019						(114,400)		(91,817)									0001
	v v				F.007 10/2010					(1 202 010)				VVV					F	[VVV	
				Options and Warrants						(1,302,010)	(1,718,500)		(5,329,950)	XXX	(5,329,950)	(2,686,554)			l		XXX	XXX
0569999999. Sub	ototal - Written Optic	ons - Hedging	Other							(1,302,010)	(1,718,500)		(5,329,950)	XXX	(5,329,950)	(2,686,554)					XXX	XXX
0639999999. Sub	ototal - Written Optio	ons - Replicati	ons											XXX							XXX	XXX
	ototal - Written Optic									t			1	XXX					t	İ	XXX	XXX
	-		Scheration														L					
	ototal - Written Optic									1			1	XXX							XXX	XXX
0789999999. Tota	al Written Options -	Call Options a	and Warrant	ts						(1,302,010)	(1,718,500)		(5,329,950)	XXX	(5,329,950)	(2,686,554)					XXX	XXX
	al Written Options -									, ,. ,,	. , ,,		(, , , , , , , , , , , , , , , , , , ,	XXX							XXX	XXX
	al Written Options -													XXX							XXX	XXX
0819999999. Tota	al Written Options -	Floors											1	XXX					1		XXX	XXX
0829999999 Tot	al Written Options -	Collars												XXX							XXX	XXX
302000000.100	a	Condia								1	1		1	////					1	1	777V	////

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 0 off lendig off lendig Type(s) Typ						Showing		s, Caps, H		ars, Owaps	anu roiwa	ius Open a											
Description of flem(s) Hedged (nor application nor application or capitation or capitation should withine Options - Other Type(s) of a (s) biblion	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description of liters/ Hedged, Used for Generation Type(s) Exchange, Counterparty (a) Table of Date Date of Date Date of Date Date of Date Date Date Of Date Date Date Of Date Date Date Date Date Date Date Date											Cumulative												
of term(s) Hedged Exchange Type(s) or Repication Type(s) Exchange Type(s) or Central Clearinghouse Date of Maturity Increase Number of Received Strike Hill (Received) Strike (Received) Strike (Received) Strike (Received) Number (Received) Strike (Received) Number (Received) Strike (Received) Number (Received)											Prior	Current											
Hedged: Used income Generation Type(s) Risk(s)																							
Lise for Generation Lise for Exhibit Lise for Rescale Lise for Generation Lise for Exhibit Lise for Rescale Lise for Generation Lise for Generation <thl< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>Strike</td><td></td><td>Cost of</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></thl<>										Strike		Cost of											
Income Generation Schedule/ Identifier Original Received Or Replicated Notice Identifier Notice Date Notice Explande Notice Date Notice Explande Current Increase Adjusted Date Current Received Paid Adjusted Received Paid Current Received Paid Adjusted Received Recei										,	of Un-												
Generation or central claiming Exhibit (a) Exchange, Counterparty (a) Trade (b) or (c) of (c) National (c) Received (c) <				Type(s)																			
Description or Replicated Identifier (a) or Central Clearinghouse Date Expiration Contracts Monute (Paid) Income Value Code First Value Querease B/AČ.V Accretion Item Exposure Entity (X) 0839999999. Total Witten Options - Uter XX XXX XXXX XXXX XXXX XXX XXXX				of			Maturity																
D83099999. Total Written Options - Other XXX XXX XXX XXX XXX 0648999999. Subtotal - Swaps - Hedging Effective XXX XXX XXXX XXXX XXXX				Risk(s)			or	•.					1001						<i>r</i>				Quarter-end
084999999. Total Writer Options (1,302,01) (1,718,500) (5,329,950) XXX (5,329,950) XXX (XX XXX 09009999999. Subtotal - Swaps - Hedging Ofter XXX				(a)	or Central Clearinghouse	Date	Expiration	Contracts	Amount	(Paid)	Paid	Paid	Income	Value		Fair Value	(Decrease)	B./A.C.V.	Accretion	Item	Exposure		(b)
0000999999. Subtolal - Swaps - Hedging Effective XXX In In XXX In			Other																				
Operation NXX NXX NXX NXX NXX NXX 1029999999. Subtolal - Swaps - Replication XXX XXXX XXX XXX XXX </td <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>(1,302,010)</td> <td>(1,718,500)</td> <td></td> <td>(5,329,950)</td> <td></td> <td>(5,329,950)</td> <td>(2,686,554)</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>											(1,302,010)	(1,718,500)		(5,329,950)		(5,329,950)	(2,686,554)						
1029999999. Subtolal - Swaps - Income Generation XXX Image: Comparison of the comparison of the																							
108999999. Subtal - Swaps - Income Generation Image - Income Generation XXX Image - Income Generation XXX Image - Income Generation XXX XXX 1149999999. Subtal - Swaps - Other XXX XXX XXX XXX XXX 1159999999. Total Swaps - Increst Rate XXX XXX XXX XXX XXX 1169999999. Total Swaps - Credit Default XXX XXX XXX XXX XXX 1179999999. Total Swaps - Toreing Exchange XXX XXX XXX XXX XXX 1189999999. Total Swaps - Total Return XXX XXX XXX XXX XXX 1199999999. Total Swaps - Other XXX XXX XXX XXX XXX XXX 1199999999. Total Swaps - Stal Return XXX XXX XXX XXX XXX XXX 1199999999. Total Swaps - Stal Return XXX XXX XXX XXX XXX XXX 1209999999. Total Swaps - Subtal - Forwards XXX XXX XXX XXX XXX 1269999999. Subtal - Forwards XXX XXX XXX XXXX XXXX XXX XXX																							
114999999. Subtotal - Swaps - OtherXXXXXXXXXXX1159999999. Total Swaps - Credit DefaultXXXXXXXXXXXX1179999999. Total Swaps - Credit DefaultXXXXXXXXXXXX1179999999. Total Swaps - Credit DefaultXXXXXXXXXXXX1179999999. Total Swaps - Total ReturnXXXXXXXXXXXX119999999. Total Swaps - Total ReturnXXXXXXXXXXXX119999999. Total Swaps - OtherXXXXXXXXXXXX119999999. Total Swaps - OtherXXXXXXXXXXXX1209999999. Total Swaps - OtherXXXXXXXXXXXX1209999999. Subtotal - ForwardsXXXXXXXXXXXX1209999999. Subtotal - ForwardsXXXXXXXXXXXX1409999999. Subtotal - Hedging EffectiveXXXXXXXXXXXX1409999999. Subtotal - Hedging Other1,54,0624,943,4009,09,9463,027,67XXXXXX1419999999. Subtotal - New GenerationXXXXXXXXXXXXXXXXXX1429999999. Subtotal - New GenerationXXXXXXXXXXXXXXX1439999999. Subtotal - New GenerationXXXXXXXXXXXX1439999999. Subtotal - New GenerationXXXXXXXXXXXX1439999999. Subtotal - New GenerationXXXXXXXXXXXX1439999999. Subtotal - New GenerationXXXXXXXXXXXX1439999999. Sub																							
115999999. Total Swaps - Interest RateXXXXXXXXXXXXXXX1169999999. Total Swaps - Credit DefaultXXX				n																			
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117999999. Total Swaps - Foreign ExchangeXXXIIIIXXXXXX1189999999. Total Swaps - Total ReturnXXXXXXIIIXXXXXX1199999999. Total Swaps - OtherXXXIIIIXXXXXX1199999999. Total Swaps - OtherXXXIIIIXXXXXX1209999999. Subtotal - ForwardsXXXIIIXXXXXX139999999. Subtotal - Hedging EffectiveXXXIIIXXXXXX1409999999. Subtotal - ReplicationIIXXIIIXXXXXX1439999999. Subtotal - ReplicationIIXXIIIIXXXIXXX1439999999. Subtotal - Income GenerationIIXXXIIIIXXXIXXX1439999999. Subtotal - OtherIIXXXIIIIXXXIXXX1439999999. Subtotal - Income GenerationIIXXXIIIIXXXIXXX1439999999. Subtotal - OtherIXXXIIIIXXXIXXX1439999999. Subtotal - OtherIXXXIIIIXXXIXXX1439999999. Subtotal - OtherIXXXIIIIXXXIXXX1439999999. Subtotal - OtherIXXXIXXXIXXXIXXXIXXXX1439999999. Subtotal - OtherIXXXIXXXIXXXIXXXIXXXX1439999999. Subtotal - OtherIXXXIXXXX<																							
1180999999. Total Swaps - Total ReturnXXXXXXXXXXX1199999999. Total Swaps - OtherXXXXXXXXXXXXXXX1209999999. Total SwapsXXXXXXXXXXXXXXX1209999999. Total SwapsXXXXXXXXXXXXXXX1209999999. Subtotal - ForwardsXXXXXXXXXXXX130999999. Subtotal - Hedging EffectiveXXXXXXXXXXXX1409999999. Subtotal - Hedging OtherXXX9,009,946XXX9,009,946XXXXXX1409999999. Subtotal - Replication1,54,0624,943,4009,009,946XXX9,009,946XXXXXX1429999999. Subtotal - OtherXXXXXXXXXXXXXXXXXXXXX1439999999. Subtotal - OtherXXXXXXXXXXXXXXXXXX																							
119999999. Total Swaps - Other XXX I I XXX XXX XXX 1209999999. Total Swaps XXX I I XXX XXX XXX 1209999999. Subtotal - Forwards XXX I I XXX XXX XXX 1269999999. Subtotal - Forwards I XXX I I XXX XXX 1399999999. Subtotal - Hedging Effective XXX I I XXX XXX IXXX XXX 1409999999. Subtotal - Hedging Other I IXX I IXXX IXXXX IXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX																							
120999999. Total Swaps XXX Image: Constraint of the constra			urn																				
126999999. Subtal - Forwards XX XX XX XX XX XXX 1399999999. Subtal - Hedging Effective XXX XXX XXX XXX XXX 1409999999. Subtal - Hedging Other 1,54,062 4,943,400 9,009,946 3,027,767 XXX XXX XXX 1419999999. Subtal - Replication XXX 9,009,946 XXX 9 XXX XXX 1429999999. Subtal - Income Generation XXX XXX XXX XXX XXX 143999999. Subtal - Other XXX XXX XXX XXX XXX XXX																							
139999999. Subtal - Hedging Effective xxx xxx xxx xxx xxx 1409999999. Subtal - Hedging Other 1,54,062 4,943,400 9,009,946 3,027,767 xxx xxx xxx 1409999999. Subtal - Replication xxx 9,009,946 xxx 9,009,946 3,027,767 xxx xxx xxx 1419999999. Subtal - Income Generation xxx xxx xxx xxx xxx xxx 142999999. Subtal - Income Generation xxx xxx xxx xxx xxx 143999999. Subtal - Other xxx xxx xxx xxx xxx																							
1409999999. Subtal - Hedging Other 1,54,062 4,943,400 9,009,946 3,027,767 Image: Constraint of the const																							
1419999999. Subtal - Replication XXX Image: Constraint of the system of the syste																							
1429999999. Subtal - Income Generation Image: Comparison of the system of the syst			er								1,544,062	4,943,400		9,009,946		9,009,946	3,027,767						
143999999. Subtal - Other XXX 1 1 1 1 XXX 1 1 1 1 XXX 1 1 1 1 1																							
	14299999999. Sub	total - Income Gene	ration																				
1449999999 - Totals 1,54,062 4,943,400 9,009,946 XXX 9,009,946 3,027,767 XXX XXX	1439999999. Sub	total - Other																					
	1449999999 - Tot	als									1,544,062	4,943,400		9,009,946	XXX	9,009,946	3,027,767					XXX	XXX

E06.2

Code 0001 H

Description of Hedged Risk(s)

(b) Code

(a)

Financial or Economic Impact of the Hedge at the End of the Reporting Period

Schedule DB - Part B - Section 1 - Futures Contracts Open

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made $N\ O\ N\ E$

SCHEDULE DB - PART D - SECTION 1

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

		Counterp	any Expected of				ato				ř
1	2	3	4	Bool	k/Adjusted Carrying V	alue		Fair Value		11	12
		Credit		5	6	7	8	9	10		
	Master	Support	Fair Value of	Contracts With	Contracts With						
Description of Exchange,	Agreement	Annex	Acceptable	Book/Adjusted	Book/Adjusted	Exposure Net of	Contracts With	Contracts With	Exposure	Potential	Off-Balance
Counterparty or Central Clearinghouse	(Y or N)	(Y or N)	Collateral			Collateral	Fair Value >0	Fair Value <0	Net of Collateral	Exposure	Sheet Exposure
0199999999 - Aggregate Sum of Exchange Traded Derivatives	XXX	XXX	XXX	• • • • • • • • • • • •	••••••••••••••••••••••••••••••••••••••					1	
Barclays Bank PLC	Ŷ	Ŷ	600.000	1, 133, 472	(468,862)	.64,610		(468,862)	64.610		
Royal Bank of Canada	Υ	Υ		8,884,794	(3,562,120)	72,674	8,884,794	(3,562,120)			
Weils Fargo Bank NA	Υ	γ			(1,298,968)			(1,298,968)			
0299999999. Total NAIC 1 Designation			8,950,000	14,339,896	(5,329,950)	137,284	14, 339, 896	(5,329,950)	137,284		
0899999999. Aggregate Sum of Central Clearinghouses (Excluding Exchange Tra	aded)										
	••••••	••••••									
		••••••									
		•••••									
0999999999 - Gross Totals			8,950,000	14,339,896	(5,329,950)	137,284	14,339,896	(5,329,950)	137,284		
1. Offset per SSAP No. 64											
2. Net after right of offset per SSAP No. 64				14,339,896	(5,329,950)						

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1	2	3	4	5	6	7 De als (A dissata al	8	9
Exchange Counterparty		CUSIP				Book/Adjusted Carrying	Maturity	Type of Margin
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	Identification	Description	Fair Value	Par Value	Value	Date	(I, V or IV)
	Type of Asset Theaged	lucifilitioution	Description			Value	Date	(1, 10111)
		•••••						
								.+
			······					
· · · · · · · · · · · · · · · · · · ·								
			······					
0199999999 - Total							XXX	XXX

Collateral Pledged to Reporting Entity

1	2	3	4	5	6	7	8	9
						Book/Adjusted		Type of
Exchange, Counterparty or Central Clearinghouse		CUSIP				Carrying	Maturity	Margin
or Central Clearinghouse	Type of Asset Pledged	Identification	Description	Fair Value	Par Value	Value	Date	(I, V or IV
Royal Bank of Canada	Money Market Fund	261908-10-7	Dreyfus Trsy Agy CM INST 521	5,250,000	5,250,000			IV
Wells Fargo Bank NA	Money Market Fund	261908-10-7	Dreyfus Trsy Agy CM INST 521			XXX		IV
Barclays Bank PLC	Money Market Fund	261908-10-7	Dreyfus Trsy Agy CM INST 521			XXX		IV
0299999999 - Total				8,950,000	8,950,000	XXX	XXX	XXX

Schedule DL - Part 1 - Reinvested Collateral Assets Owned **NONE**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned **NONE**

SCH	IED	ULE	E E - PA	RT 1 - C	ASH			
		Month	End Depository	Balances				
1	2	3	4	5		lance at End of Eac uring Current Quart		9
		Rate of	Amount of Interest Received During Current	Amount of Interest Accrued at Current	6	7	8	
Depository	Code	Interest	Quarter	Statement Date	First Month	Second Month	Third Month	*
US Bank St Paul, MN						(11,085,250)	(1,680,881)	XXX
Wells Fargo Bank Minneapolis, MN	0					.964,896		XXX
Bank Of New York Mellon Pittsburgh, PA					151,167	696.617	2,597,489	XXX
0199998. Deposits in 2 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	xxx	xxx			25,980	25,980	25,980	XXX
0199999. Totals - Open Depositories	XXX	XXX			58,754,866	(9,397,757)	1.825.133	XXX
0299998. Deposits in depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	xxx	xxx						xxx
0299999. Totals - Suspended Depositories	XXX	XXX						XXX
0399999. Total Cash on Deposit	XXX	XXX			58,754,866	(9,397,757)	1,825,133	XXX
0499999. Cash in Company's Office	XXX		XXX	XXX				
0599999. Total - Cash	xxx	xxx	· · · · · · · · · · · · · · · · · · ·		58,754,866	(9,397,757)	1,825,133	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

		now investments Ow	med End of Curren		1			
1	2	3	4	5	6	7	8	9
	_					Book/Adjusted	Amount of Interest	Amount Received
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Carrying Value	Due and Accrued	During Year
United States Treasury E United States Treasury E	3111 B 10/03/19 3111 B 10/04/10			0.000	10/03/2019 10/24/2019			
United States Treasury E	3111 B 10/24/19 3111 B 10/08/19			0.000				
0199999. Subtotal - Bonds - U.S. C	Governments - Issuer Obligations					13, 195, 611		
0599999. Total - U.S. Government						13, 195, 611		
1099999. Total - All Other Governm						.,		
1799999. Total - U.S. States. Territ								
2499999. Total - U.S. Political Sub	divisions Bonds							
3199999. Total - U.S. Special Reve								
3899999. Total - Industrial and Mise								
4899999. Total - Hybrid Securities								
5599999. Total - Parent, Subsidiari	ies and Affiliates Bonds							
6099999. Subtotal - SVO Identified								
6599999. Subtotal - Bank Loans								
7799999. Total - Issuer Obligations	3					13.195.611		
7899999. Total - Residential Mortga						10,100,011		
7999999. Total - Commercial Morto								
8099999. Total - Other Loan-Backe								
8199999. Total - SVO Identified Fu								
8299999. Total - Bank Loans								
8399999. Total Bonds						13.195.611		
996085-24-7 Dreyfus Treasury Cash Ma	anageme							
94975H-29-6 Wells Fargo Adv Treas Pl	lus IMF Inst							
8599999. Subtotal - Exempt Money	y Market Mutual Funds - as Identified by the SVO					14,519,097		171,98
				•••••				
[
8899999 - Total Cash Equivalents						27,714,708		171,98

Medicare Part D Coverage Supplement

ΝΟΝΕ

Trusteed Surplus - Cover

ΝΟΝΕ

Trusteed Surplus Statement - Assets

NONE

Trusteed Surplus Statement - Liabilities and Trusteed Surplus $N\ O\ N\ E$

Trusteed Surplus Overflow Page

ΝΟΝΕ